

Somaiya Vidyavihar University

Annexure 1A - Registered MoA/Trust deed

Certificate of Registration

The Societies Registration Act, 1860.
(Act XXI of 1860)

Registration No. Bom. 71/1959 GBBSD.

It is hereby certified that SOMAIYA VIDYAVIHAR
has this day been duly registered under the
Societies Registration Act, XXI of 1860.

Given under my hand this 9th day of Sept., 1959



Seal of the
Assistant Registrar of
Societies,
Greater Bombay
Region.

Sd/- M. K. Trilokekar,
Assistant Registrar of Societies,
Greater Bombay Region.



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SOMAIYA VIDYAVIHAR

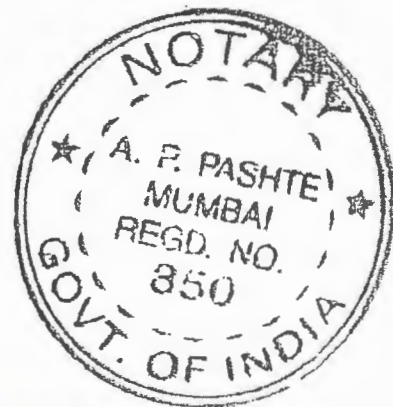
(Registered under the Societies Registration Act XXI of 1860)

As amended upto 22-12-2003

MEMORANDUM OF ASSOCIATION & RULES AND REGULATIONS




Dr. Nand Kumar Gilke
Registrar
Somaiya Vidyavihar University



Registered Office :

Fazalbhoy Building, 45/47, Mahatma Gandhi Road, Fort, Mumbai - 400 001
Phone : (91-22) 22048272 / 22858430 • Fax : (91-22) 22047297 • www.somaiya.edu

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SOMAIYA VIDYAVIHAR

The first Managing Council:

President	Shri N.H. BHAGWATI, M.A., LL.B.
Vice-Presidents	Shri. AMRITLAL HARGOVANDAS PARI, B.A., LL.B. Dr. B.B. YODH, M.B.B.S., M.R.C.P., D.T.M. & H. Shri. SHANTILAL K. SOMAIYA, B.Sc. LL.B.
Treasurers:	Shri HANSRAJBHAI JIVANDAS MIRANI Shri RANCHHODDAS SHAMJI KOTAK
Secretaries:	Shri T.M. SHETH, M.A., LL.B., Bar-at-Law, I.A.S., J.P. Shri D.M. POPAT, B.A., B.Com., LL.B., Attorney-at-Law.
Members:	Dr. R.D. ADATIA, M.Sc, Ph.D. Shri CHANDANSINHA BHARKATIYA, B.Com. Shri JAYANTKRISHNA H. DAVE, M.A., LL.B. Shri JYOTINDRA H. DAVE, M.A. Shri P.M. KAVADIA, M.A., LL.B. Shri MOHANLAL T. MEHTA (Sopan). Prof. M.R. PALANDE, M.A. Dr. (Smt.) M.R. SHAH, B.A., M.Ed., Ph.D. (Bom.), Ph.D. (Lond.) Shri KARAMSHI J. SOMAIYA Smt. SAKARBAI K. SOMAIYA Shri G.A. THAKKAR, LL.B. Advocate (O S.). Dr. M.P. VAIDYA, M.A., M.Ed., Ph.D. Dr. D.G. VYAS, M.B.B.S, D.O.M.S. (Lond) Prof A.B. YAJNIK, M.A.

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Sd/- M.K: Trilokekar
Assistant Registrar of Societies,
Greater Bombay Region.

M.K. Trilokekar



MEMORANDUM OF ASSOCIATION

1. The name of the Society shall be SOMAIYA VIDYAVIHAR.
2. The Registered office of the Society shall be in Mumbai.
3. The objects of the Society are :-
 - a. To spread education in all its branches particularly among the Linguistic Minority Community (GLMC); To make such education accessible to all, preference being given to Gujarati Linguistic Minority Community (GLMC);
 - b. To promote and impart all kinds of education and establish, assist, oversee and conduct educational institutions at such place or places in Maharashtra and other states in India and abroad as the Society may from time to time determine;
 - c. To provide, maintain, run and conduct hostels, boarding houses and residential quarters for students and staff;
 - d. To provide for instruction by means of extension lectures, post graduate course, publication of literature, magazines, periodicals and such other means as the Society may from time to time determine;
 - e. To institute Diplomas in various courses of studies;
 - f. To award scholarships, freeships or give monetary and other assistance and facilities to students and others studying in India or abroad;
 - g. To give donations or contribute to any other charitable institution;
 - h. To establish and aid pension schemes, provident or other funds and contribute to any insurance scheme and grant gratuities to and for the benefit of the employees and workers of the Society and ex-employees and ex-workers of the Society and their dependants and relations;
 - i. To do all acts and things necessary for or conducive to the promotion of all kinds of education either alone or in conjunction with any other person or institution.
4. With a view further to facilitate the Society's activities for achieving its objects, the Society may:-
 - a. Solicit, obtain or accept subscriptions, donations, grants, gifts, bequests of money and all kinds of property movable and immovable and accept the same unconditionally or on any special terms and conditions or on trust



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being inconsistent with the objects of the Society as the Society may think fit;

- b. Acquire by purchase, lease or otherwise lands, buildings, playgrounds, parks and property movable or immovable in Mumbai and elsewhere to carry out all or any of the objects of the Society;
- c. Construct, maintain, repair, extend, alter or enlarge any land, building, playgrounds or parks or any other immovable property belonging to or held by the Society;
- d. Borrow moneys without any security or on security of any of the property or properties of the Society on such terms and conditions as the Society may deem fit;
- e. Sell, transfer, exchange, mortgage, charge, lease, convert securities, dispose of or otherwise deal with any property or properties belonging to or at the disposal of the Society;
- f. Invest and deal with the moneys of the Society in such manner as may from time to time be determined by the Society.

5. Further in carrying out its objects the Society may:-

- a. Pay out of funds belonging to the Society or out of any particular part of such funds all expenses of or incidental to the function of the Society and management and administration of the institutions of the Society;
- b. Procure the services of teachers, employees and others either for remuneration in cash or kind or gratuitously in an honorary capacity;
- c. Terminate or dispense with the services of teachers, employees of others engaged by the Society;
- d. Draw, make, accept, endorse and negotiate cheques, hundies, promissory notes, or other negotiable instruments for the purpose of the Society;
- e. For the purpose aforesaid, sign, execute and deliver such assurances and deeds as may be necessary or advisable and do and carry out or assist in doing and carrying out all such matters and things likely to promote the objects of the Society;
- f. Print, publish and exhibit any books and pamphlets which may be considered desirable for the promotion or carrying out of the objects of the Society.
- g. And generally, do and execute all such acts, deeds, matters and things as may be conducive to or for achieving the objects of the Society or any of them.



6. In order to promote and advance the objects of the Society, the Trustees of the Somaiya Trust have agreed to donate the sum of Rs.3,00,000 Rupees (Three Lacs) to the Society on the condition that three institutions viz., (1) an Arts & Science College, (2) a Commerce College and (3) a High School shall be named and styled as desired by the Trustees for the time being of the said Somaiya Trust.

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RULES AND REGULATIONS

1. **INTERPRETATIONS:** Any interpretation of these rules and regulations or any regulations relating to any of the matters incidental or ancillary thereto made by the Managing Council shall be final.

“PERSON” shall include any Company or Association or body of individuals whether incorporated or not.

2. There shall be the following categories of Members of the Society:

- a. **Founder Member:** The following shall be the Founder Members of the Society:

Dr.	Ratilal	Devchand	Adatia
Shri	Devji	Naranji	Anjaria
Shri	Jashwantrao	Jayantilal	Anjaria
Shri	Shivajibhai	Nathubhai	Atha
Shri	Ramkrishna	Jamnalaji	Bajaj
The Hon. Shri	Natwarlal	Harilal	Bhagwati
Shri	Chandansinha	Sagatmal	Bharaktiya
Smt	Gulestan	Rustomji	Billimoria
Shri	Nurudin	Badrudin	Bora
Shri	Babulal	Puranmal	Bubna
Shri	Govindkumar	Dayalji	Chandran
Shri	Jadavji	Premji	Chande
Shri	Jamnadas	Devkaran	Chandriani
Shri	Mahadeo	Laxman	Dahanukar
Shri	Hariram	Mulji	Daiya
Shri	Muljibhai	Vishram	Daiya
Smt	Savitriben	Hariram	Daiya
Shri	Jayantilal	Gangadas	Dalal
Pandit	Devendra	Vijayji	Dave
Shri	Jayankrishna	Harikrishna	Dave
Shri	Jyotindra	Harishanker	Dave
Shri	Natvarlal	Nanubhai	Desai
Shri	Shantanu	Nanubhai	Desai
Shri	Premji	Pragji	Dharamsee
Shri	Navalmal	Kundanmal	Firodia
Shri	Dattatreya	Dhondo	Gadgil
Dr	Shrikrishna	Narasinha	Gajendragadkar

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Shri	Vadilal	Chatrabhuj	Gandhi
Shri	Chhotalal	Lallubhai	Gheevala
Shri	Kusum	Pratapsinh	Hariani
Shri	Pratapsinh	Pragji	Hariani
Shri	Lallubhai	Chakuram	Jariwala
Shri	Chatrabhuj	Liladhar	Karia
Shri	Purushottam	Mavji	Kavadia
Shri	Balmukund	Dwarkaprasad	Khanna
Shri	Dharmdas	Bhogilal	Khata
Shri	Ramnarayan	Ramdhan	Khatod
Shri	Jerambhai	Premji	Kotak
Smt.	Leelaben	Madhavji	Kotak
Shri	Madhavji	Jeram	Kotak
Shri	Ranchhoddas	Shamji	Kotak
Shri	Virji	Jadavji	Kotak
Shri	Suryakant	Hariram	Kothari
Shri	Manilal	Dossabhai	Lakhani
Shri	Kanthilal	Nahalchand	Laloochand
Comdt. G enl.	Maneck	Jehangir B.	Maneckji
Shri	Purushottam	Chatrabhuj	Maskai
Dr.	Chandrakant	Hariprasad	Mehta
Dr.	Usha	Hariprasad	Mehta
Smt.	Labhuben	Mohanlal	Mehta
Shri	Mohanlal	Tulsidas	Mehta (Sopan)
Shri	Ratilal	Bechardas	Mehta
Shri	Chandrasinh	Hansraj	Mirani
Smt.	Divyaprabha	Chandrasinh	Mirani
Shri	Hansrajbhai	Jivandas	Mirani
Shri	Tolaram	Jethanand	Mirchandani
Shri	Nadirshah	Rustamji	Mulla
Shri	Vinayak	Dattatray	Muzumdar
Shri	Rameshwar	Kanaiyalal	Nevatia
Shri	Prasad		
Shri	Shrigopalji	Phulchand	Nevatia
Shri	Manohar	Ramchandra	Palande
Raj Guru	Ramkrishna	Hareshwar	Pandit
Smt.	Hiralakshmi	Motiram	Panjuani
Shri	Krishnadas	Hirji	Panjuani
Shri	Lalajibhai	Hirji	Panjuani
Shri	Motiram	Lalji	Panjuani
Shri	Amritlal	Hargovandas	Pari
Shri	Varadhbhai	Lallubhai	Patel
Smt.	Hiraben	Ramnarayan	Pathak

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Shri	Madhavlal	Narayanlal	Pittie
Shri	Dharamahy	Morarji	Popat
Dr.	Mohanlal	Bhavanbhai	Popat
Shri	Mohamed Husein	Hasham	Premji
Shri	Navinchandra	Chunilal	Sayta
Dr.	Maduriben	Batilal	Shah
Shri	Popatlal	Govindlal	Shah
Shri	Kondo	Krishnaji	Shende
Dr.	Shantilal	Chhaganlal	Sheth
Shri	Tulsidas	Mulji	Sheth
Shri	Kalyanji	Mavji	Shethia
Shri	Khataubhai	Mavji	Shethia
Shri	Shivji	Dharamshi	Shethia
Kum.	Jethiben	Tulsidas	Sipahimalani
Kum.	Bharati	Dharshi	Somaiya
Smt.	Chandrabala	Mulji	Somaiya
Shri	Karamshi	Jathabhai	Somaiya
Shri	Mayadevi	Shantilal	Somaiya
Shri	Mulji	Jethabhai	Somaiya
Kum.	Nirupma	Karamshi	Somaiya
Kum.	Pratima	Karamshi	Somaiya
Smt.	Sakarbai	Karamshi	Somaiya
Shri	Shantilal	Karamshi	Somaiya
Shri	Gajadharji	Hajraimalji	Somani
Shri	Anant	Sheshgiri	Sthalekar
Shri	Mangharam	Nenumal	Thadani
Shri	Budhidhan	Prushottam	Thakkar
Shri	Gordhanbhai	Anandji	Thakkar
Shri	Hirjibhai	Ratanshi	Thakkar
Smt.	Jankiben	Budhidhan	Thakkar
Shri	Jethalal	Bhavanji	Thakkar
Shri	Khimjibhai	Madhavji	Thakkar
Shri	Mohanlal	Velji	Thakkar
Shri	Premjibhai	Bhavani	Thakkar
Dr.	Manubhai	Pranjivan	Vaidya
Shri	Chandulal	Nagindas	Vakil
Shri	Nrayan	Krishnandas	Varma
Dr.	Dharmasinh	Ghelabhai	Vyas
Dr.	Kantilal	Chandulal	Vyas
Shri	Manganlal	Tribhovandas	Vyas
Dr.	Amrital	Bhagwanji	Yajnik
Dr.	Bhasker	Balwantrao	Yodh

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- b) **Patron** : A person, who has paid to the Society a subscription of Rs.25,00,000/- (Rupees Twenty Five Lacs) or more or who has given property of that value or who has already become a patron by virtue of existing provision under existing Rules & Regulations prior to this amendment shall be designated "Patron".

A Patron shall be entitled to nominate his one representative for each subscription of Rs.25,00,000/- (Rupees Twenty Five Lacs) and each of such representatives shall be ex-officio member of the Managing Council. Provided that Patron already existing prior to this amendment will continue to enjoy the same rights of entitlement.

A Patron shall be entitled to revoke the nomination of any of his representatives and nominate in his place any other representative as he may think fit; provided however that at no time there will be more than five (5) representatives of Patrons on the Managing Council. In the event of there being more than five Patrons the Patrons for the time being by themselves or through their duly authorized representatives determine amongst themselves five representatives who would be members of the Managing Council.

- c) **Donor** : A person who shall pay to the Society a subscription of Rs.10,000/- (Rupees Ten Thousand) or more but less than Rs. 1,00,000/- (Rupees One Lac) or shall give property of that value shall be designated "Donor".
- d) **Sympathiser** : A person who shall pay to the Society a subscription of Rs.2,500/- (Rupees Two Thousand Five Hundred) or more but less than Rs.10,000/- (Rupees Ten Thousand) or shall give property of that value shall be designated "Sympathiser".
- e) **Honorary Member** : A person distinguished for his public services or for services rendered to the Society or for eminence in any subject taught in any of the institutions established, taken over or conducted by the Society or for rendering valuable services in carrying out the aims and objects of the Society or if he is in the opinion of the Board of Trustees likely to be useful to the Society and who is nominated by the Managing Council on the recommendation of the Academic Council or by the Boards of Trustees shall be designated "Honorary Member".

The number of Honorary Members shall at no time exceed 51.

- f) **Life Member**: A person of the required academic attainments who has pledged himself to serve the Society ordinarily for a period of not less than 15 years on such emoluments as may be fixed by the Managing Council from time to time and whose nomination as a Life Member has been sanctioned by the Academic Council shall be designated "Life Member". The Managing Council may frame rules for the admission of the Life Members. Notwithstanding anything herein to the contrary contained, the first ten Life Members shall be nominated by the Managing Council alone provided that whenever the number of Life Members for the time being falls below ten the Managing Council alone shall



have the right to nominate sufficient number of Life Members to bring their number to ten.

g) **Supporting Member:** A person interested in the spread and promotion of education and who is in agreement with the aims and objects of the Society and who in the opinion of the Board of Trustees, is likely to be useful to the Society and is recommended by the Board to the Managing Council for being nominated as "Supporting Member" shall be designated "Supporting Member".

3. The members in Categories (a) to (d) above shall be members for life. The tenure of members other than individuals shall be 25 years.

a) The tenure of an Honorary Member shall be three years from the date of his nomination. An Honorary Member shall be eligible for being re-nominated any number of times.

b) The tenure of a life member shall come to an end on the expiration of his period of service as a life-member.

c) The tenure of a Supporting Member shall be for life unless revoked earlier by the Board of Trustees and accordingly advised to the Managing Council.

4. A person may be enrolled in more than one of the above-mentioned categories of membership but he shall not be entitled to exercise his rights as a member in more than one category. Such person shall on his being so enrolled give notice in writing to the Society of his choice of the category of members of which he desires to exercise his rights. Such persons may from time to time change his choice of category of the members of which he desires to exercise his rights. Any member may by paying the difference in the subscription payable for any higher category of members and the subscription paid by him be enrolled as a member of such category.

4(a). No member in any category will be admitted if such admission results in the share of members of Gujarati Linguistic Minority Community (GLMC) in the total membership under all categories falls below 75%

5 A Member shall cease to be a Member of the Society if:

a. He resigns his membership by giving written notice to the Honorary Secretaries and the Managing Council accepts such resignation;

b. He dies or becomes lunatic;

c. In case of members other than individuals when he has completed 25 years of membership;

d. He is convicted of an offence involving moral turpitude; or

e. Loses his membership in any other way provided herein.



6. The provisions in these Rules and Regulations applicable to a member shall mutatis mutandis apply to a Patron's representative, provided that a Patron's representative shall not for the purposes of Rules 4 and 5 above be treated as a member.
7. a) Every member shall furnish an address to which notices may be sent within India and every notice dispatched by post to such member or left or delivered at such address shall be deemed to have been duly served
 b) a person who has not furnished his address shall not be entitled to receive any notice.
8. The Managing Council may by a resolution, of which due previous notice has been given to the member or members concerned, passed at its meeting by a two-third majority of the members thereof, present and voting, remove any member from membership if, after giving due opportunity for explanation to the member concerned, the Managing Council in its discretion is satisfied that such member is guilty of any act or conduct either in contravention of the Rules of the Society or detrimental or injurious to its credit or interest, or for any other good and sufficient reason, of which the Managing Council will be the sole judge, it is considered desirable to remove such member from his membership of the Society.

GENERAL BODY

9. The General Body of the Society shall consist of all categories of members of the Society and the members of the Board of Trustees of the Society.
10. (i) Subject to Rule 17(a) the Annual General meeting of the Society shall be held once in every calendar year at such place and time and on such date not more than 15 months after the holding of the last preceding Annual General Meeting as the managing Council may determine. Every third year in which election to the Managing Council is to take place, the Annual General meeting shall be held on the same day as the election or the next day; but if in pursuance of Rule 17(a) the election is postponed the Annual General Meeting shall be held on a day not later than one month of the date of election as may be appointed by the Managing Council.
- (ii) The Managing Council may call a meeting of the General Body as and when it thinks fit.
- (iii) The Managing Council shall convene and hold a meeting of the General Body on a requisition made in writing by 20 members. Such meeting shall be held within 30 days of the date of deposit of the requisition. If the Managing Council fails to hold such a meeting within 30 days of the date of deposit of the requisition, the requisitionists or a majority of them may call such a meeting. Such meeting if called by the requisitionists shall be



convened and held in the same manner as other general meetings and shall be held at the office of the Society.

11. At least 14 clear days notice of the Annual General Meeting of the General Body and at least 5 days notice of any other meeting of the General Body shall be given to the members stating the date, time and place of the meeting and the agenda of the meeting.
12. Except in case of the General Meeting called by the requisitionists, the agenda of the General Meeting of the Society shall be prepared by the Managing Council.

QUORUM:

13. (i) At least 20 members or one-fourth of the total number of the members, whichever is less, present in person shall form a quorum of a meeting of the General Body provided that the attendance of Hon. Members if any shall not be taken into consideration for purposes of such quorum.
- (ii) If no quorum shall be present at the time appointed for the meeting, the meeting shall stand adjourned to half an hour after the appointed time on the same day at the same place and at such adjourned meeting, the business will be transacted even if there is no quorum present.
14. (i) Every member shall have one vote only. All matters unless otherwise specifically provided for by these Rules shall be decided by majority of votes.
- (ii) If there is a tie voting, the President of the meeting shall have one casting vote in addition to his own vote as a member.
- (iii) The President of the meeting shall be the sole judge of the validity of every vote given at the meeting.
- (iv) A person entitled to vote shall not be entitled to appoint a proxy.

BUSINESS OF THE MEETING:

15. The business of the Annual General Meeting shall be:
- (i) To receive the report about the work, activities and progress of the Society during the closing year.
- (ii) To receive and adopt the audited Statement of Accounts and Report of the Auditors for the closing year.
- (a) the Report, Balance Sheet and Statement shall be signed:
- (i) by the President or in his absence by one of the Vice-presidents;
- (ii) by at least one Treasurer and



- (iii) by at least one Hon Secretary and the Auditors' report shall be attached to the Balance Sheet.
- (h) The Statement of Account and Report shall be sent to the members at least seven days before the date of the Annual General Meeting.
- (iii) To consider and sanction the budget for the ensuing year.
- (iv) To appoint an Auditor or Auditors for the Society for the ensuing year and to determine their remuneration.
- (a) The Auditor or a member of the firm of Auditors shall not be a member of the Managing Council.
- (b) If no appointment of Auditor or Auditors is made at the Annual General Meeting, the Auditor or Auditors for the closing year shall continue to hold office for the ensuing year.
- (v) (a) To receive and adopt the audited statement of Accounts of the K.J. Somaiya College of Arts & Science and the Annual Report of its working.
- (b) To appoint an Auditor or Auditors for the K.J. Somaiya College of Arts & Science for the ensuing year and to determine their remuneration.
- (vi) To do such other business as may be brought before it by the Managing Council.

MANAGING COUNCIL

16. (1) The Managing Council shall subject to the provision of the Memorandum and these Rules consist of the following :

- (i) four members elected by Founder Members and Supporting Members jointly from amongst themselves
 - (ii) the representatives of all Patrons for the time being as provided in rule 2 (b) above;
 - (iii) four members elected by Donors from amongst themselves;
 - (iv) four members elected by Sympathisers from amongst themselves;
 - (v) such number of Honorary Members not exceeding six as may be nominated to the Managing Council by the Board of Trustees;
 - (vi) two persons elected by Life Members from amongst themselves.
 - (vii) Provided further that 75% of the total membership of the Managing Council in each of the above categories shall be reserved for members belonging to Gujarati Linguistic Minority Community (GLMC).
- (b) Trustees for the time being of the Society;
- (c) Co-opted members: persons who are not members of the Managing Council but whose nomination is, in the opinion of the Board of Trustees, in the



interest of the Society shall when so nominated to the managing Council be designated as Co-opted members. The term of a Co-opted member shall expire along with the term of the Managing Council to which he has been nominated. A Co-opted member will be eligible for nomination any number of times. The number of Co-opted members shall at no time exceed four.

(d) Principals or heads of all the institutions of the Society shall be ex-officio members of the Managing Council but they shall have no right to vote except on matters concerning their respective institutions.

(2) (i) If the members for the time being in category under Rule 2(a), (c), or (d) do not exceed four and two in case of 2(f), all the members in such category shall become members of the Managing Council without any election.

(ii) There shall be no election from amongst the Patrons, their representatives, Honorary members and the Trustees for election to the Managing Council.

Provided always that notwithstanding any vacancy on account of failure to nominate appoint or elect any member to the Managing Council or for any other reason the managing Council shall be deemed to be competent to function.

ELECTIONS:

17. (a) The election to the Managing Council shall take place once in every three years, but should circumstance make it impossible or very difficult to adhere to the schedule, the Managing Council shall by a resolution passed at its meeting postpone the election to any other convenient date not beyond one additional year.

(b) The Managing Council in office, prior to the coming into force of this constitution, shall continue to be in office and be competent to function with all the powers provided in this constitution until the elections under this rule takes place and until the new Managing Council so elected takes office as provided herein, provided that the first election to the Managing Council shall take place within 3 years of the incorporation of the Society.

18. The candidate standing for election must be a member at the time of the meeting of the Managing Council held for resolving to hold election.

19. The total number of the Society's membership shall be declared at the meeting referred to in the last preceding clause and no member enrolled or registered thereafter shall be entitled to vote or stand for election nor shall any intimation of change in choice of the category as mentioned in Rule 4 above shall be considered if given after such meeting.

20. A retiring member shall be eligible for re-election any number of times.

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21. If the number of nominations received for any category of members is equal to or less than the number to be elected from that category, all such candidates shall be declared to have been duly elected.

22. The election shall be by ballot.

- (i) A voter shall have such number of votes in the category to which he belongs as the number of seats in such category, and shall not be entitled to vote in any other category.
- (ii) The voting shall not be cumulative i.e. a voter cannot give more than one vote to a candidate.

23. The proceedings at any election shall not be invalidated by reason of any informality or technical irregularity in the conducting thereof or otherwise or for any want of qualification in any of the persons present or voting thereat or any irregularity or non-receipt of any notice for the holding thereof.

24. The Managing Council may from time to time frame the rules for the purpose of regulating elections, giving of notices therefore and the proceedings thereat and amend, alter or revise such rules as and when expedient.

25. At their first meeting convened as above, the members of the Managing Council may elect from amongst themselves the following Office-bearers:

- (i) President
- (ii) Three Vice-Presidents
- (iii) Two Treasurers and
- (iv) Two Secretaries

26. The new Managing Council shall not take charge till after the election of Office bearers and the retiring Council shall continue to hold office until the taking of such charge.


POWERS AND FUNCTIONS:

27. The Managing Council shall exercise all the powers and perform all the duties that the Society is competent to exercise or bound to perform in the achievement of the object and or carrying out the activities contained in the Memorandum of the Society save those powers and/or duties which by Statute or the provisions of the Societies Registration Act XXI of 1860 and these Rules are to be exclusively exercised or performed by the General Body of Trustees or are reserved to be exercised or performed in any other way.

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Provided however, that they shall include the powers:-

- 
- (i) to decide applications for membership and to collect and accept donations and gifts;
 - (ii) to appoint other committees or sub-committees and to entrust to them any specific work or activity or the administration or supervision etc. of any institution or activities of the Society and to delegate to them such of the powers as the Managing Council may deem proper;
 - (iii) for meeting with the current expenses of the society to authorize by resolution such number of its members not exceeding five, to open, maintain and operate current account or accounts shall always be operated upon at least by two such members jointly;
 - (iv) to consider the budget prepared by the Board of Trustees and if thought fit to recommend alterations therein to the Board of Trustees;
 - (v) to fix, enhance or reduce the standard of fees for different classes in the societies institutions;
 - (vi) to delegate to or confer upon any member of the Managing Council or Head of the institutions such powers for one or more specific purposes, as the Managing Council May deem proper;
 - (vii) to delegate a member of the Managing Council or a member of the Society to represent the Society or the Managing Council at such other place as the managing council may deem proper or necessary;
 - (viii) to consider the resignation of any member of the society;
 - (ix) to fill any casual vacancy of an elected member of the managing council and to fill in any vacant seats uncontested at elections, by electing duly qualified person or persons thereto;
 - (x) to call a meeting of the General Body and to prepare an agenda therefore;
 - (xi) to hold elections and to frame rule for holding and conducting the same;
 - (xii) to commence and carry on all legal proceedings where in the Society may be party or in any way interested or concern subject to the supervision and according to the introduction of the Board of Trustees;
 - (xiii) to close schools or college or the institutions for one or more days on special occasions;
 - (xiv) to nominate persons as the Honorary Members of the Society on the recommendations of the Academic Council;

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- (xv) to enter into special arrangements with any person for establishing or conducting any institutions ;
- (xvi) to produce the service of teachers, employees or others engaged by the society;
- (xvii) to terminate or dispense with the services of teachers, employee or others engaged by the Society;
- (xviii) to do all such acts and things as are incidental to or which the Managing Council may think conducive to the attainment of the objects of the Society or any of them.

28. The first meeting of newly elected Managing Council together with the Trustees and persons co-opted by the Board of Trustees on the new Managing Council, if any, shall be convened by the Secretaries of the retiring Managing Council within two weeks after the day of the declaration of election results. Two clear days notices of such a meeting shall be given to the elected members.

QUORUM:

29 The quorum for a meeting of the Managing Council shall be 5 members of the Managing Council . If there is no quorum within half an hour of the time appointed for the meeting, it shall be competent for the Managing Council to proceed with the business without the quorum.

VOTING:

30. (i) Every member present shall have one vote.

(ii) The business will be transacted according to the decision of the majority.

(iii) If there is a tie, the Chairman shall have a casting vote in addition to his own vote as a member.

VACATING OFFICE:

31. A member of the Managing Council shall vacate his office if he
- i. resigns his office in Managing Council and the Managing Council accepts such resignation;
 - ii. dies;
 - iii. is adjusted insolvent or is convicted of an offense involving moral turpitude;
 - iv. is found lunatic or of unsound mind, or by reason of age or infirmity or otherwise becomes incapable of acting as member of the Managing Council ;
 - v. loses his membership of the Managing Council, loses his membership of the Society in any other way provided by these rules.
 - vi. being an elected member of the Managing Council, loses his membership of the Society in any other way provided by these rules.

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OFFICE BEARERS

THE PRESIDENT AND VICE-PRESIDENT

- 2.
- i) The President shall preside at all meetings of the General Body or the Managing Council and any committee or sub-committee of which he is a member and his rulings on any point of order and decision as to the validity of any vote and as to the results of the voting shall be final and conclusive;
 - ii) The President shall supervise all the activities of the Society and shall supervise the expenditure subject to the provisions of the budget and shall generally guide and advise the Office-bearers, employees and workers;
 - iii) The President shall have the power to delegate or entrust any of his duties described in (ii) above to a Vice-President.
33. In absence of the President, the Vice-President present according to seniority shall preside and in absence of the Vice-President, the meeting shall elect its own chairman who shall preside. The chairman of the meeting shall exercise and perform all powers, functions and duties of the President.

TREASURER:

34. The duties of the Treasurer shall be as follows:

- i) They shall receive all the payments, keep proper account and maintain proper books of accounts, vouchers, files, receipt books and other necessary papers.
- ii) They shall submit to the Managing Council periodical and annual accounts of the Society for necessary action and shall prepare the annual accounts for being presented to the General Body at its Annual General Meeting.
- iii) They shall get the annual accounts of the Society duly examined by the Auditors duly appointed by the General Body.
- iv) They shall prepare estimate of income and expenditure of the Society and get the same sanctioned by the Managing Council.
- v) They shall keep not more than Rs.1000/- in cash with them.



HONORARY SECRETARY:

35. The duties of the Honorary Secretary shall be as follows:

- i) They shall in consultation with the President call meetings of the Managing Council and keep the minutes and give effect to the resolution there of;
- ii) They shall make and prepare the Annual and periodical reports;
- iii) they shall organize, regulate, supervise and inspect the various institutions and activities of the Society , and shall be responsible for the proper, efficient and progressive working thereof;
- iv) They shall supervise the work of the paid secretaries, teachers, clerks and others;
- v) They shall attend to the correspondence of the society;
- vi) They shall examine and check all payments and bills;
- vii) They shall carry out such others duties as the Managing Council may from time to time determine.

36. If a Secretary or a Treasurer remains absent for six months or more at a stretch without permission of the Managing Council, he shall be deemed to have vacated his post as such Office bearer (but not as a member of the Managing Council) and another Office bearer shall be appointed in the vacancy thus caused.

ACADEMIC COUNCIL

17.

(a) The Academic Council shall consist of the following members:-

- i) the President of the Managing Council ;
- ii) One out of two Hon. Secretaries of the Managing Council nominated by the Managing Council ;
- iii) Two members of the Managing Council to be elected by the Managing Council;
- iv) Not more than 15 educationist to be nominated by the Managing Council ;
- v) Heads of all the institutions established, taken over or conducted by the Society .

(b)

- i) The Academic Council shall, from among its members elect an Hon. Secretary.
- ii) The Hon. Secretary of the Managing Council on the Academic Council shall be its Joint Hon. Secretary.

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38. The President shall be the Chairman of the Academic Council.

39. (a) The Academic Council shall, generally advise the Society on all academic matters and shall have the power:-

- i) to regulate teaching and examinations in the Society 'Society institutions ;
- ii) to propose to the Managing Council the instituting of diplomas in various subjects;
- iii) to lay down course of studies subject to the approval of the Managing Council;
- iv) to propose to the Managing Council the institutions of new teaching posts, their duties and emoluments;
- v) to arrange for co-ordination of studies and inter-collegiate lectures;
- vi) to recommend regulation prescribing conditions to be compiled with by candidates for diplomas, scholarships and prizes;
- vii) to recommend to the Managing Council the names of persons to be nominated as Honorary members of the Society ;
- viii) to exercise such other powers as may be conferred on it by the Managing Council .

(b) All the decisions of the Academic Council will be subject to, the approval of the Managing Council .

BOARD OF TRUSTEES

40. The Trustees for the time being and from time to time of the Somaiya Trust shall be ex-officio members of and constitute the Board of Trustees of the Society.

Following are the present Trustees of Somaiya Trust:

1. Shri. Karamshi Jethabhai Somaiya.
2. Shri. Shantilal Karamshi Somaiya.
3. Smt. Sakarbai Karamshi Somaiya.



FUNCTIONS AND POWERS:

41. The functions of the Board of Trustees shall be as under:-

(i) All the immovable properties of the Society and the earmarked donation received from the donors, only the income whereof is to be utilized, shall be vested in the Trustees.

(ii) The Trustees for the time being shall in all legal proceedings be deemed to represent the Society and its members where in the Society may be party or be in any way interested or connected.

(iii) All moneys forming part of the funds of the Society and requiring investment shall be invested and the Conveyance or other assurance in respect of any immovable property or properties forming part of the funds of the Society shall be obtained in the names of the Trustees for the time being of the Society.

(iv) The Trustees may from time to time open and maintain in the name of the Society any bank account or banking accounts with such Scheduled Bank or Banks or Central and Provisional Co-operative Bank or Banks as may from time to time be determined by the Managing Council and shall forthwith pay or cause to be paid and rents, income, Profits, dividends and all other moneys forming part of the funds of the Society to the credit of any such account or accounts. Such account or accounts shall be operated upon by such Trustees as may from time to time be authorized to do so by a resolution of the Board of Trustees.

42. The Trustees shall be entitled to

(i) prepare the budget for the whole or any part of the year;

(ii) sell, assign, convey or recover any immovable property or properties for the time being forming part of the funds of the Society (whether acquired by any mortgage or purchase) or any of them or any part thereof either together or in parcels and either by public auction or private contract and either with or without any special or other stipulation as to title, evidence or commencement of title or otherwise and to buy in and rescind or vary any contract for sale and to resell without being made answerable for the loss occasioned thereby and for the purpose aforesaid or any of them to execute and do all such assurance, acts, deeds and things as they shall think fit and by and out, of the moneys which shall arise from any such sale as aforesaid, pay the expenses incurred in or about the same or otherwise in or about the execution of any of the trusts or powers of these Rules and shall stand possessed of the residue of the same moneys and also rents and profits of the premises or of the unsold part thereof for the time being upon such trust Society and subject to such powers, provisions, agreements and declarations as are herein declared concerning the same respectively PROVIDED ALWAYS that it shall be lawful for the Trustees to postpone the sale of any immovable property or properties forming part of the funds of the Society or any of them or any part thereof so long as the Trustees may think fit;



(iii) manage immovable property or properties for the time being forming part of the funds of the Society in such manner as they shall think fit with full power to make improvements repairs or alterations of any description or to make or incur any outlay for any such purpose or for the insurance or otherwise for the protection and benefit of the said premises and to grant and renew lease of any description and upon any terms (whether at the best rent or rents or upon payments of fine or fines, premium or premiums) of the same premises or any of them or any part of parts thereof and for any period or periods and to enter into any arrangements or agreements of any description for or in relation to the tenancy or occupation of the same premises or any of them or any part or parts thereof or accepts surrender or leases and agreements (whether in contemplation of renewed lease or tenancy or otherwise) or to alter the terms of tenancy or occupation under the same respectively to make any allowances to or agreements with tenants or occupiers or intending tenants or occupiers of the said premises and in the discretion of the Trustees or Trustees either to prosecute or forebear or to compound or to submit to arbitration or to settle in any other manner and if thought fit altogether to give up and release any remedies or demands against tenants or occupiers or any other person or persons whomsoever in relation to the premises:

(iv) purchase hire or take on lease a property or properties for the purpose of carrying out the object of the Society;

(v) nominate Hon, members as provided in Rule 2 (e) above;

(vi)-co-opt members to Managing Council as provided in Rule 16 (c) above;

(vii) select five students for being admitted to each of the institutions run by the Society and to further select five students for freeships in each of such institutions .

43. The Society at its general meeting and on the recommendation of the Managing Council may from time to time at its discretion for the carrying out of the objects of the society or any of them borrow moneys and for the said purpose may authorize the Trustees to borrow moneys at such rate of interest and such form and manner and upon such terms and security as shall be specified in the resolution and there upon the Trustees shall at the said discretion make all such dispositions of the properties movable or immovable forming part of the funds of the Society or any part thereof and enter into and execute such agreements, assurances, deeds, debenture deeds and things in relation there to as the Trustees may deem proper for giving security for such loans and interest.

44. The receipt in writing of any two of the Trustees for the time being of the Society for any interest, dividend, papers, writing documents or any moneys, securities properties and effects payable or deliverable to the Society shall be sufficient and effectual discharge for the same respectively or for so much thereof respectively as in such receipt or receipts shall be expressed or acknowledged to be or to have been received and the person and persons to whom or in whose favors the same shall be given shall not afterwards be in anywise obliged or concerned to see to the application of the moneys, property and effects therein acknowledged to be received or be answerable or accountable for the loss, misapplication or non-application thereof.

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45. The Trustees shall be entitled to reimburse or to pay discharge out of the Trust properties all expenses incurred and payments made by them in or about the execution of the Trust or powers of these presents.

GOVERNING BODY (K. J. SOMAIYA COLLEGE OF ARTS & SCIENCE)

45A. There shall be Governing Body for administration and management of K.J. Somaiya College of Arts & Science started by these at Ghatkopar in June 1960.

45B, The Governing Body of K.J. Somaiya College of Arts & Science shall, subject to the provision of the Memorandum and these Rules , consist of the following:-

- (i) One member elected by patrons or the representatives of the patrons as provided in Rule 2(b) above, from amongst the patrons or such representatives;
- (ii) One member elected by donors from amongst themselves;
- (iii) One member elected by Sympathisers from amongst themselves;
- (iv) One member elected by Trustees for the time being constituting the Board of Trustees from amongst themselves;
- (v) Principal of the K.J. Somaiya College of Arts & Science who shall be ex-officio member Secretary of the Governing Body.
- (vi) Four educationists elected by all the members of the General Body of the Society from amongst themselves.

Provided that there shall be no over-lapping in any of the categories enumerated herein above.

ELECTION:

45C. The election of the Governing Body of the K.J. Somaiya College of Arts & Science shall take place simultaneously with the election of the Managing Council, as provided in Rule 17(a) above. Provided that the first Governing Body of the K.J. Somaiya College of Arts & Science shall be elected immediately on coming into effect of the Rules providing for such a Governing Body .

5D. The retiring members of the Governing Body of the K.J. Somaiya College of Arts & Science shall be eligible for re-elections any number of times

5E. The rules relating to the election of members of the Managing Council and its functioning and meeting shall, mutatis mutandis, apply to the election of the members functioning and meetings of the Governing Body of the K.J. Somaiya College of Arts & Science.

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POWERS AND FUNCTIONS:

45F. The Governing Body of the K.J. Somaiya College of Arts & Science shall have all financial and administrative powers in respect of K.J. Somaiya College of Arts & Science, including the power of framing and sanctioning its budget, making appointments of the members of the staff and all such powers deemed as might be necessary for looking after, managing and conducting all the matters relating to or arising out of the administration of K.J. Somaiya College of Arts & Science.

Provided that they shall include the power-

- (i) to procure the services of teachers and employees and others and terminate or dispense with the same;
- (ii) to delegate to any member of the Governing Body or the Head of the college such powers for one or more specific purposes as it may deem proper;
- (iii) to do all such acts and things as are incidental to or conducive to the sound working of the college.

45G. The Principal of K. J. Somaiya College of Arts and Science shall be in charge of its day-to-day administration.

The Governing Body of the K. J. Somaiya College of Arts & Science shall place before the Annual General Meeting of the General Body of the Society a duly audited statement of accounts of K. J. Somaiya College of Arts & Science and Annual Reports of its Working.

45 H. GOVERNING BODY OF OTHER INSTITUTIONS :

There would be similar Governing Body for administration and management for each of the institutions started by the Society and the provisions under Rule 45A to 45G shall apply, mutatis mutandis, to all such institutions-existing at present or to be started by the Society.

QUORUM

45 I. The Quorum for a meeting of the Governing Body of the K. J. Somaiya College of Arts and Science shall be 3 members of the Governing Body. If there is no quorum within half an hour of the time appointed for the meeting, it shall be competent for the Governing Body to proceed with the business without the quorum

TRUSTEES:

45J. The General Body of the Society shall appoint Trustees for K.J. Somaiya College of Arts & Science. Such Trustees shall be custodians of the property and permanent funds of the K.J. Somaiya College of Arts & Science. And hold them for

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GENERAL

46. The Managing Council shall frame rules for:

- (i) regulating proceedings at the meeting of the General Body, the Managing Council and the Academic Council;
- (ii) fixing terms and conditions of service for life members and other members of the Staff of the Society ;
- (iii) regulating the nomination of Educationist to the Academic Council and fixing the tenure of their membership.

47. The property of the Society and the income of the Society from its properties and all other income of the Society from whatever source derived shall form part of the "Trust Fund" of the Society.

48. It shall be competent for the General Body to make alterations, additions and amendments in the Rules and Regulations of the Society except the name and objects of the Society by a majority of not less than $\frac{3}{4}$ of the members present at the meeting of the General Body provided that due notice has been given to the members about the proposals for such change, provided further that no change whatsoever concerning the Board of Trustees shall be made without the consent of the Board of Trustees.

Unless otherwise specially provided for herein, the general rules and practice relating to the meetings shall apply to the meetings of the General Body and all the councils of the Society .

49. a) Every member of the Managing Council , Auditor, Treasurer, Secretary and other Officer, Trustee or Servant of the Society shall be indemnified by the Society against all liability arising out acts and things done by him in performance of the duties entrusted to him out of the funds of the Society by payment of all sums by way of damage or otherwise and all losses, costs , charges or expenses which may incur or become liable to by reason of any contract entered into or any way in or about the discharge of his duties including traveling expenses will be paid and borne by the Society .

b) No member of the Managing Council , Auditor, Treasurer, Secretary and other Officer, Trustee or Servant of the Society shall be liable for acts, neglects, or defaults of any other Officer of for joining in any receipt or other act for the sake of conformity merely or for any loss or expense happening to the Society through the insufficiency or deficiency in title or value of any property acquired by or on behalf of the Society shall be invested or for any loss or damage arising from the bankruptcy, insolvency or tortuous act of any person, company or corporation to or with whom any moneys securities or effects of the Society shall be entrusted or deposited or for any loss occasioned by any error of judgment, omission, default or oversight on his part or for any other loss or damage or misfortune whatever shall have happened in relation to the

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execution or performance of his office or in relation thereto unless the same happens through his own dishonesty.

c) All acts done by any meeting of the Managing Council or by any person purporting to act as a member of the Managing Council or of a Committee or sub-committee of such Council or of the Board of Trustees or of any Trustee shall notwithstanding that it be afterwards discovered that there was some defect in the appointment of any such member or person acting as aforesaid or that they or any of them was disqualified be as valid as if every such member or person had been duly appointed and qualified to be a member of the Managing Council or such Committee, sub-committee or Board of Trustees.

50. Should any circumstances or contingency arise wherein it may be necessary to wind up the activities of the Society or when all or any of the activities of the Society are to be wound up or transferred to some other institution, it shall be competent to the General Body by a majority of not less than 3/4 of the members present at the meeting of the General Body to authorize the Board of Trustees to dispose of or handover all or any of the assets of the Society movable or immovable to any Institution for devoting the same for the general benefit of the public and in accordance with sections 13 and 14 of Societies Registration Act (Act XXI of 1860).



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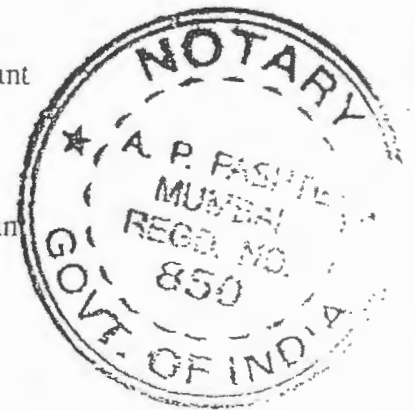
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APPLICATION FOR REGISTRATION

We, the undersigned are desirous of being formed into a Society under the Societies' Registration Act, 1860, (XXI of 1860).

Signature	Name and Address of Signatories	Occupation
1. Sd/- D.G. Vyas	Shri DHARAMSINH GHELARAM VYAS 40, Parekh Street, Bombay - 4	Ophthalmic Surgeon.
2. Sd/- P.M. KAVADIA	Shri PURUSHOTTAM MAVJI KAVADIA, 59, Goa Street, Fort, Mumbai - 1.	Fellow of the University of Bombay.
3. Sd/- D.M. Popat	Shri DHARAMSHY MORARJI POPAT, "Usha Kiran" Dinsha Vachcha Road, Fort, Mumbai - 1	Solicitor
4. Sd/- K.J. Somaiya	Shri KARAMSHI JETHABHAI SOMAIYA, 74, Marine Drive, Mumbai - 1.	Merchant
5. Sd/- S.K. Somaiya	Shri SHANTILAL KARAMSHI SOMAIYA, 74, Marine Drive, Mumbai - 1.	Merchant
6. Sd/- R.S. Kotak	Shri RANCHHODDAS SHAMJI KOTAK, Agrawal Bhuvan, Block No.6, 2 nd Floor, Telang Road, Matunga, Mumbai - 19	Merchant
7. Sd/- T.M. Sheth	Shri TULSIDAS.MULJI SHETH, C-5, Foreshore Road, Fort, Mumbai - 1	Govt. Service.



ATTESTED BY ME

Place: Mumbai

Date: 9th September 1959.

[Signature]
A. P. PASHTE
B.A., LL.B.
ADVOCATE & NOTARY
(GOVT. OF INDIA)
Flat No. 11, Rajgad Sedan,
Ashyudaya Nagar, Cotton Green,
MUMBAI - 400 033.



INSTITUTION: SOMAIYA VIDYAVIHAR
NAME & ADDRESS OF TRUSTEES

<u>Name</u>	<u>Official Address</u>	<u>Residential Address</u>
Dr. S.K.Somaiya	Fazalbhoj Building, 45/47, M.G. Road, Fort, Mumbai-400001	Padmanabh, 10, M.L.Dahanukar marg, Mumbai-400026 Telephone No. 4922633 Email:sksomaiya@somaiya.com
Smt. Leelaben M. Kotak	Fazalbhoj Building, 45/47, M.G. Road, Fort, Mumbai-400001	Kalpataru, 2nd floor, 39, Pedder Road, Mumbai-400026
Shri. Samir S. Somaiya	Fazalbhoj Building, 45/47, M.G. Road, Fort, Mumbai-400001	Padmanabh, 10, M.L.Dahanukar marg, Mumbai-400026
Savitriben Hariram Daiya	Fazalbhoj Building, 45/47, M.G. Road, Fort, Mumbai-400001	602, Indira Apartment 1/736, Carmichael Road Mumbai 400026
Jankiben Buddhidhan Thakkar	Fazalbhoj Building, 45/47, M.G. Road, Fort, Mumbai-400001	Madhav Kunj East Sion Road, Sion Mumbai-400 022
Divyaben Chandrasinh Mirani	Fazalbhoj Building, 45/47, M.G. Road, Fort, Mumbai-400001	51/J Sagar Mahal Bhulabhai Desai Road Mumbai- 400 026

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SOMAIYA VIDYAVIHAR

(Registered under the Societies Registration Act XXI of 1860 under
Registration No. BOM 71/1559 GBSB dated 9th September 1955)

No. Ref : _____

FAZALBHOY BUILDING
NARAYAN GANDHI ROAD
FORT, MUMBAI-400 023

**EXTRACT OF THE MINUTES OF MEETING HELD ON 19TH
AUGUST, 1983 AT 4TH FLOOR, FAZALBHOY BUILDING, 45-
47 M.G. ROAD, FORT, MUMBAI - 400 001.**

Shri K J Somaiya informed that on our request the K J Somaiya Trust has agreed to provide necessary infrastructure for starting an Engineering College for which the said Trust has constructed a building on its land at Vidyavihar. The trust has also made an application to The Department of Technical Education (DTE) and Bombay University for affiliation.

We are awaiting permission from DTE and affiliation from Bombay University for K J Somaiya College of Engineering at Vidyavihar

It will take some time in getting affiliation and permission to start the Engineering College. However, we have already complied with the requirements of Government and the University in this regard.

Thereupon after due deliberations the following resolution was passed unanimously.

“Resolved that an Engineering College in the name of K J Somaiya College of Engineering be established, commenced and started from academic year 1983-84 at Vidyavihar”.

/True Copy/

For Somaiya Vidyavihar

(Authorised Signatory)



Somaiya Vidyavihar University

Annexure 1B - Details of trust members



Somaiya Vidyavihar

BOARD OF TRUSTEES OF SOMAIYA VIDYAVIHAR

Sr. No.	Name of Trustees	Designation	Address
1.	Shri Samir S. Somaiya	Chairman	10, 'Padmanabh', M.I. Dahanukar Marg, Mumbai 400 026
2.	Smt. Leelaben M. Kotak	Trustee	37, Kalpataru, 2 nd Floor, Peddar Road, Mumbai- 400 026
3.	Smt. Savitriben H. Daiya	Trustee	602, Indira Apts, M.I. Dahanukar Marg, Mumbai- 400 026
4.	Smt. Divyaben C. Mirani	Trustee	51/J, Sagar Mahal, Bhulabhai Desai Road, Mumbai - 400 026
5.	Smt. Jankiben B. Thakkar	Trustee	Madhav Kunj, East Sion Road, Sion, Mumbai - 400 022
6.	Smt. Amrita S. Somaiya	Trustee	10, 'Padmanabh', M.I. Dahanukar Marg, Mumbai - 400026
7.	Shri Amol J. Shah**	Trustee	M.J. Biopharm Pvt. Ltd. 113. Jolly Maker Chambers-2, Nariman Point, Mumbai-400 021.

* Smt. Mayadevi S. Somaiya, Trustee, Somaiya Vidyavihar expired on 23.06.2018. Hence, her name is deleted from the Board of Trustees of Somaiya Vidyavihar. A Change Report to that effect has been allowed by the Hon'ble Charity Commissioner on 10.12.2018.

** Shri Amol J. Shah inducted as a Trustee of Somaiya Vidyavihar on 14.02.2019. A Change Report for adding his name in the Board of Trustees of Somaiya Vidyavihar has also been allowed by the Hon'ble Charity Commissioner on 30.07.2019.

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J. Singh
Lt. Gen. Jagbir Singh
Hon. Secretary
Somaiya Vidyavihar

Somaiya

T R U S T

Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai -
Telephone: (91-22) 2204 8272 / 6170 2100 Fax : (91-22) 2204 7397



Website: www.somaiya.edu

**THE STATUS OF MANAGING COUNCIL
AS ON TODAY I.E. 20.07.2020 OF SOMAIYA VIDYAVIHAR**

Sr. No.	Managing Council Member	Designation	Name of Organization
1.	Shri Samir S. Somaiya	President / Chairman	Somaiya Vidyavihar
		Chancellor	Somaiya Vidyavihar University
2.	Smt. Leelaben M. Kotak	Member	Somaiya Vidyavihar
3.	Smt. Savitriben H. Daiya	Member	Somaiya Vidyavihar
4.	Smt. Divyaben C. Mirani	Member	Somaiya Vidyavihar
5.	Smt. Jankiben B. Thakkar	Member	Somaiya Vidyavihar
6.	Smt. Amrita S. Somaiya	Member	Somaiya Vidyavihar
		Member	Somaiya Vidyavihar University
7.	Shri Amol Shah	Member	Somaiya Vidyavihar
8.	Shri Nandan Mehta	Member	Somaiya Vidyavihar
9.	Shri Bimal Mehta	Member	Somaiya Vidyavihar
		Member	Somaiya Vidyavihar University
10.	Shri Upen Mithani	Member	Somaiya Vidyavihar
11.	Dr. Patricia Gokhale	Member	Somaiya Vidyavihar
12.	Dr. Jagdish N. Parikh	Member	Somaiya Vidyavihar
13.	Lt. Gen. Jagbir Singh	Hon. Secretary	Somaiya Vidyavihar
14.	Shri Porus Olpadwalla	Member	Somaiya Vidyavihar
15.	Shri Faraz Kagalwalla	Treasurer	Somaiya Vidyavihar
		C.F.O.	Somaiya Vidyavihar University
16.	Prof. V.N. Rajasekharan Pillai	Provost	Somaiya Vidyavihar
		Vice Chancellor	Somaiya Vidyavihar University

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EXTRACT FROM THE MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES OF SOMAIYA VIDYAVIHAR HELD ON MONDAY, 5TH AUGUST 2019 AT 5:00 P.M. AT THE REGISTERED OFFICE OF SOMAIYA VIDYAVIHAR AT SOMAIYA BHAVAN, 45-47, MAHATMA GANDHI ROAD, FORT, MUMBAI-400 001.

ITEM NO.3: TO REVIEW THE STATUS OF SETTING UP SELF-FINANCED UNIVERSITY AT SOMAIYA VIDYAVIHAR:

Shri Samir S. Somaiya President Somaiya Vidyavihar placed before the Board of Trustees the following updates on setting-up Self-financed University at Somaiya Vidyavihar:

The Government of Maharashtra vide GR No. USG/2006/(254/06)/UNI-4/Part-II dated 29th May 2013 of Higher & Technical Education Department has issued orders laying down guidelines for setting up Self-financed Universities in the State.

After due scrutiny of the guidelines laid down by Government of Maharashtra for setting-up the Self-financed University, Somaiya Vidyavihar being a Sponsoring Body, has applied for setting-up Self-financed University on 03.10.2013 for the various Institutions under Somaiya Vidyavihar.

Lt. Gen Jagbir Singh, Secretary of the Trust mentioned that the proposed Somaiya Vidyavihar University will be having 28.08 acres (113662.27 sq. mtrs.) of land which will form a contiguous campus at Somaiya Vidyavihar. Out of 28.08 acres of land (113662.27 sq. mtrs.), Somaiya Vidyavihar has made available approx. 9.15 acres of land (37042.77 sq. mtrs.) alongwith the available infrastructure vide Resolution no. 5(iii) of 14.02.2019, K.J. Somaiya Trust has made available approx. 15.62 acres of land (63211.89 sq. mtrs.) alongwith the available infrastructure vide Resolution no. 9(IV) and The Somaiya Trust has made available approx. 3.31 acres of land (13407.61 sq. mtrs) alongwith the available infrastructure vide Resolution no. 9(III) during the meeting held on 14.02.2019.

Shri Samir S. Somaiya, President Somaiya Vidyavihar further mentioned that on submission of the proposal along with the necessary documentation, the State Government Team had visited Somaiya Vidyavihar Campus on 16/02/2019 and scrutinized the documents and physically inspected the land and infrastructure to be made available for the proposed Somaiya Vidyavihar University.

During the Maharashtra Assembly Legislative Monsoon Session held on 21st June 2019 the Bill for setting up Somaiya Vidyavihar University has been approved by the Legislative Assembly. Subsequently, an Act to that effect has been published under No. SFU 2013/C.R. 200/Uni.-4 of 16th July 2019 for Somaiya Vidyavihar University, Mumbai, Act, 2019.

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The copy of the G.R. under Maharashtra Act No. V of 2019 published on 29th June 2019 to that effect alongwith the copy of the Notified Act under Maharashtra Government Gazette were also placed before the Board of Trustees for ready reference, perusal and information.

The Maharashtra Act No. V of 2019 of the Somaiya Vidyavihar University, Mumbai was then taken on record by the Board of Trustees.

Shri Samir S. Somaiya, President, Somaiya Vidyavihar further suggested that in view of the facts mentioned above, it is necessary to prepare ourselves for setting-up Somaiya Vidyavihar University. Prof. V.N. Rajshekharan Pillai, Provost Somaiya Vidyavihar and invitee to the meeting further suggested that as per the application submitted to the Government of Maharashtra, K.J. Somaiya Trust, The Somaiya Trust and Somaiya Vidyavihar are the sponsoring bodies for establishing the Somaiya Vidyavihar University.

Lt. Gen. Jagbir Singh, Hon. Secretary, Somaiya Vidyavihar mentioned that taking into account that Somaiya Vidyavihar already has a history and experience of running various educational institutions, the Board of Trustees of K.J. Somaiya Trust and The Somaiya Trust during the meetings held on 5/08/2019 unanimously decided to authorize Somaiya Vidyavihar to be the Sponsoring Body for establishing the Somaiya Vidyavihar University.

Prof. V.N. Rajshekharan Pillai, Provost Somaiya Vidyavihar further mentioned that as per Clause 11 of the Maharashtra Act No. V of 2019 it is mandatory to appoint the President and Chancellor for the Somaiya Vidyavihar University:

The Board of Trustees were unanimous to appoint Shri Samir S. Somaiya, President Somaiya Vidyavihar as President and Chancellor of Somaiya Vidyavihar University.

Accordingly the following resolutions were passed unanimously:

“RESOLVED THAT the Board of Trustees of Somaiya Vidyavihar hereby appoint Shri Samir S. Somaiya as President and Chancellor of Somaiya Vidyavihar University.”

“AND RESOLVED FURTHER THAT a copy of the foregoing resolution certified to be true by Lt. Gen. Jagbir Singh, Hon. Secretary Somaiya Vidyavihar be sent to the Government of Maharashtra for approval of Shri Samir S. Somaiya as President and Chancellor of Somaiya Vidyavihar University.”

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“AND RESOLVED FURTHER THAT Lt. Gen. Jagbir Singh, Hon. Secretary Somaiya Vidyavihar be and is hereby authorized to communicate with the Government of Maharashtra for effecting the foregoing resolution.”

CERTIFIED TRUE COPY

**Lt. Gen. Jagbir Singh
Hon. Secretary
Somaiya Vidyavihar**



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EXTRACT FROM THE MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES OF THE SOMAIYA TRUST HELD ON MONDAY, 5TH AUGUST 2019 AT 4:00 P.M. AT SOMAIYA BHAVAN, 45-47, MAHATMA GANDHI ROAD, FORT, MUMBAI-400 001.

ITEM NO.6: POLICY ISSUES AND STATUS:-

(i) TO REVIEW THE STATUS OF SETTING UP SELF-FINANCED UNIVERSITY AT SOMAIYA VIDYAVIHAR:

Shri Samir S. Somaiya, Chairman of the Trust placed before the Board of Trustees the updates on Self-financed University application made by Somaiya Vidyavihar in the following manner:

The Government of Maharashtra vide GR No. USG/2006/(254/06)/UNI-4/Part-II dated 29th May 2013 of Higher & Technical Education Department has issued orders laying down guidelines for setting up Self-financed Universities in the State.

After due scrutiny of the guidelines laid down by Government of Maharashtra for setting-up the Self-financed University, Somaiya Vidyavihar being a Sponsoring Body, has applied for setting-up Self-financed University on 03.10.2013 for the various Institutions under Somaiya Vidyavihar.

Lt. Gen Jagbir Singh, Secretary of the Trust mentioned that the proposed Somaiya Vidyavihar University will be having 28.08 acres (113662.27 sq. mtrs.) of land which will form a contiguous campus at Somaiya Vidyavihar. Out of 28.08 acres of land (113662.27 sq. mtrs.), Somaiya Vidyavihar has made available approx. 9.15 acres of land (37042.77 sq. mtrs.) alongwith the available infrastructure vide Resolution no. 5(iii) of 14.02.2019, K.J. Somaiya Trust has made available approx. 15.62 acres of land (63211.89 sq. mtrs.) alongwith the available infrastructure vide Resolution no. 9(IV) and The Somaiya Trust has made available approx. 3.31 acres of land (13407.61 sq. mts) alongwith the available infrastructure vide Resolution no. 9(III) during the meeting held on 14.02.2019.

Shri Samir S. Somaiya, Chairman of the Trust further mentioned that on submission of the proposal alongwith the necessary documentation, the State Government Team had visited Somaiya Vidyavihar Campus on 16/02/2019 and scrutinized the documents and physically inspected the land and infrastructure to be made available for the proposed Somaiya Vidyavihar University.

During the Maharashtra Assembly Legislative Monsoon Session held on 21st June 2019 the Bill for setting up Somaiya Vidyavihar University has been approved by the Legislative Assembly. Subsequently, an Act to that effect has been published under No. SFU 2013/C.R. 200/Uni.-4 of 16th July 2019 for Somaiya Vidyavihar University, Mumbai, Act, 2019.

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The copy of the G.R. under Maharashtra Act No. V of 2019 published on 29th June 2019 to that effect along with the copy of the Notified Act under Maharashtra Government Gazette were also placed before the Board of Trustees for ready reference, perusal and information.

The Maharashtra Act No. V of 2019 of the Somaiya Vidyavihar University, Mumbai was then taken on record by the Board of Trustees.

Shri Samir S. Somaiya, Chairman of the Trust further suggested that in view of the facts mentioned above, it is necessary to prepare ourselves for setting-up Somaiya Vidyavihar University. Prof. V. N. Rajshekharan Pillai, Provost Somaiya Vidyavihar and invitee to the meeting further suggested that as per the application submitted to the Government of Maharashtra, K.J. Somaiya Trust, The Somaiya Trust and Somaiya Vidyavihar are the sponsoring bodies for establishing the Somaiya Vidyavihar University. He further suggested that Somaiya Vidyavihar already has a history and experience of running the various educational institutions. It would therefore be appropriate to authorize Somaiya Vidyavihar all the rights and to do all that is necessary and take decisions on behalf of the Trust as a Sponsoring Body and let Somaiya Vidyavihar function as a sponsoring body for Somaiya Vidyavihar University.

All the Trustees were unanimous on the above suggestion.

Accordingly the following resolutions were passed unanimously:

“RESOLVED THAT the Board of Trustees of The Somaiya Trust hereby authorize Somaiya Vidyavihar to be the **Sponsoring Body** for establishing Somaiya Vidyavihar University.”

“AND RESOLVED FURTHER THAT Shri Samir S. Somaiya Chairman of the Trust is hereby authorized to take all necessary steps on behalf of the Trust in this matter.”

“AND RESOLVED FURTHER THAT a copy of the foregoing resolution certified to be true by Lt. Gen. Jagbir Singh Secretary of the Trust be given to all concerned for record and noting the same.”

CERTIFIED TRUE COPY

Lt. Gen. Jagbir Singh
Secretary
The Somaiya Trust



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Somaiya Vidyavihar University

**Annexure 2 - Details of the members of the Society/Trust are members in
other Societies/Trusts etc.**

BOARD OF TRUSTEES OF SOMAIYA VIDYAVIHAR

Sr. No.	Name of Trustees	Designation	Name of the Trust wherein involved in philanthropic activities
1.	Shri Samir S. Somaiya	Chairman -" -" -" Member Chairman -" -" -" -" Trustee Chairman	K.J. Somaiya Trust The Somaiya Trust Girivanvasi Pragati Mandal K.J. (T) Somaiya Trust Suruchi The Indian Medical Research Society K.J. Somaiya (Delhi) Trust K.J. Somaiya (Gujarat) Trust K.J. Somaiya Medical Trust Girivanvasi Educational Trust Smt. Sakarbai S. Somaiya Charitable Trust Maganlal Mehta Charitable Trust
2.	Smt. Leelaben M. Kotak	Trustee -" -" -" Member Trustee -" -" -" -" -"	K.J. Somaiya Trust The Somaiya Trust Girivanvasi Pragati Mandal K.J. (T) Somaiya Trust Suruchi K.J. Somaiya (Delhi) Trust K.J. Somaiya (Gujarat) Trust K.J. Somaiya Medical Trust Girivanvasi Educational Trust Smt. Sakarbai S. Somaiya Charitable Trust Maganlal Mehta Charitable Trust
3.	Smt. Savitriben H. Daiya	Trustee Member Trustee	The Somaiya Trust Suruchi Smt. Sakarbai S. Somaiya Charitable Trust
4.	Smt. Divyaben C. Mirani	Trustee Member Trustee	The Somaiya Trust Suruchi Smt. Sakarbai S. Somaiya Charitable Trust
5.	Smt. Jankiben B. Thakkar	Trustee Member Trustee	The Somaiya Trust Suruchi Smt. Sakarbai S. Somaiya Charitable Trust
6.	Smt. Amrita S. Somaiya	Trustee -" -" -" Member	K.J. Somaiya Trust The Somaiya Trust Girivanvasi Pragati Mandal K.J. (T) Somaiya Trust Suruchi

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		-"- Trustee -"- -"- -"- -"	The Indian Medical Research Society K.J. Somaiya (Delhi) Trust K.J. Somaiya (Gujarat) Trust K.J. Somaiya Medical Trust Girivanvasi Educational Trust Maganlal Mehta Charitable Trust
7.	Shri Amol J. Shah	Trustee	The Somaiya Trust

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Somaiya Vidyavihar University

Annexure 3 - Details of Society/Trust involved in promoting/ running any other University/ Educational Institution

**Details of Society/Trust involved in promoting/ running any other University/
Educational Institution**

Sr. No.	Name of the Institute
1.	SHREE SKS VINAYMANDIR BALVATIKA (Nursery to 4 th)
2.	SKS VINAY MANDIR SECONDARY SCHOOL (5 th to 10 th)
3.	SKS VINAY MANDIR JUNIOR COLLEGE
4.	SHRI SHARDA ENGLISH MEDIUM SCHOOL, KOPARGAON (KG TO 10 TH)
5.	SOMAIYA VIDYA MANDIR, SAKARWADI (5 th to 10 th)
6.	SOMAIYA VIDYA MANDIR, LAXMIWADI (5 th to 10 th)
7.	SOMAIYA SHISHU NIKETAN PRIMARY SCHOOL, SAMEERWADI
8.	SOMAIYA VINAY MANDIR HIGH SCHOOL, SAMEERWADI
9.	K.J. SOMAIYA ENGLISH MEDIUM SCHOOL, SAMEERWADI
10.	K J SOMAIYA COLLEGE OF ARTS AND COMMERCE
11.	K J SOMAIYA COLLEGE OF SCIENCE AND COMMERCE
12.	S K SOMAIYA DEGREE COLLEGE OF ARTS, SCIENCE AND COMMERCE
13.	SMT SAKARBAI K SOMAIYA JUNIOR COLLEGE OF EDUCATION
14.	K J SOMAIYA INSTITUTE OF ENGINEERING AND INIFORMATIOMN TECHNOLOGY
15.	K.J. SOMAIYA POLYTECHNIC
16.	K J SOMAIYA VOCATIONAL TRAINING INSTITUTE
17.	K J SOMAIYA COLLEGE OF NURSING
18.	THE SOMAIYA SCHOOL
19.	K J SOMAIYA COLLEGE OF PHYSIOTHERAPY
20.	K. J. SOMAIYA MEDICAL COLLEGE & RESEARCH CENTRE

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Somaiya Vidyavihar University

Annexure 4A - Details of whether the promoting society /trust is involved in promoting/running activities other than educational

About Somaiya Trust and Somaiya Vidyavihar

This is a trust involved in education, healthcare & rural development <http://www.somaiya.com/> in the states of Maharashtra, Karnataka & Gujarat. The trust manages over 30 different educational institutions of learning extending from elementary to Ph. D. The education is imparted in diverse areas such as medicine, engineering, the arts and sciences, religion, vocational studies, education, languages etc. There are over 39,000 students and 1,500 faculties. There is also a 650 bed urban teaching hospital & 40 bed rural hospital. The trusts jointly run 8 schools, 6 of which are in rural Maharashtra and Karnataka

About the Founder - Padma Bhushan Shri Karamshibhai Jethabhai Somaiya

It's no small achievement to distinguish oneself in such diverse fields as commerce, education, and philanthropy. Pujya Shriman Karamshibhai Jethabhai Somaiya, born on May 16 1902 in the remote village of Malunjar in Ahmednagar district of Maharashtra, India, was however, a blessed person by dint of hard work and singular devotion to service.

The early decades of the 20th century were a period of significant national resurgence and no sensitive young man could ignore the stirring presence of Mahatma Gandhi. Karamshi was no exception. His ever seeking mind was moulded by Gandhiji's ideas of 'Swadeshi' and 'Satyagraha'. To make India independent and industrially and economically advanced, was the dream of every young mind.

Young Karamshibhai Somaiya engaged in looking after his father's small grocery business, would travel from village to village selling groceries and booking orders all the while nursing the dream of the resurgent India of Mahatma Gandhi and Swami Vivekananda.

To further his dream of contributing to India's economic independence, he became a partner in a leading sugar trading firm M/s. Shobachand Ramnarayan Kothod of Shirampur in Ahmednagar district. His knowledge of the sugar industry was soon widely acknowledged and respected and in course of time he came to be called 'the Sugar King of India'.

When in the thirties the Deccan canals were commissioned by the Government, Shri K.J. Somaiya launched the Sakarwadi factory in 1939, and another, Lakshmiwadi factory in 1941, the start of the Godavari Sugar Mills Ltd. in Maharashtra State. One of the most successful entrepreneurs of recent times in the State, Shri K.J. Somaiya occupied many positions of public responsibility. He was the President of the Deccan Sugar Factorics Association, the President of the Deccan Sugar Technologists Association and played a leading part in the deliberations of the Federation of Indian Chambers of Commerce and Industry. He was often consulted on matters crucial to the Sugar Industry.

Endowed with sharp business acumen, a balanced perspective and a social bent of mind he set up the Somaiya Trust in 1953 for furthering his dream of shaping young minds through quality education. For this purpose he bought a large area of land at Charkopar in the godforsaken

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outlying eastern suburb of Mumbai, much to the consternation of the members of his family and colleagues. It was no place they thought to develop an educational complex in a far flung marshy swamp!

Shri K. J. Somaiya proved all skeptics wrong as witnessed by the mushrooming growth of Ghatkopar as a formidable centre of middle class living. Karamshibhai laid the foundation for Somaiya Vidyavihar in 1959. In close to four and a half decades it has grown into a large educational complex with 32 institutions catering to diverse fields of education such as Humanities, Engineering, Education, Medicine, Management and Mass Communication, with more than 23000 students and 1500 teaching faculty on a throbbing 65 acre campus!

It was the vision of Shri K. J. Somaiya that modern professional education must remain true to the spirit of Indian ethos and culture. He insisted on value-based education, believing firmly that a community uncaring for its traditions is decadent and insubstantial. He was passionate about propagating the Sanskrit language and the promotion of studies in ancient Indian thought and culture. He envisaged Somaiya Vidyavihar as the Nalanda of learning where students and teachers would live in an intellectual and spiritual fellowship.

Ex-Chairman- DR. Shantilal K Somaiya (B.SC., LL.B., D.SC. HONORIS (CAUSA))

He was Promoter and Director of various companies having business and manufacturing interest in Sugar, Industrial Alcohol, Organic Chemicals, Salt, Printing and Publications, Agriculture, Bulk Drugs, etc. He was also associated with various Educational, Social and Cultural Organisations and has been Member of the Senate of the University of Mumbai, SNDT Women's University and the Indian Institute of Science, Bangalore. He had been a member of various Committees constituted by Ministry of HRD including specialised committees of University Grants Commission (UGC).

Positions Held:

Industry:

He was Chairman of Somaiya Group of Industries engaged in the production of sugar, industrial alcohol, heavy organic chemicals, fine chemicals, marine salt, printing and publications.

Research:

- Chairman, Governing Council; K. J. Somaiya Institute of Applied Agricultural Research (KIAAR) primarily engaged in Sugar Cane Research promoted by the Godavari Sugar Mills Ltd.
- Member, Governing Body, Indian Medical Research Society (IMRS), primarily engaged in research activities in Paediatrics and Neurology.

Educational:

- Trustee, K. J. Somaiya Trust and Somaiya Trust which have promoted various Educational, Cultural, Rural and Tribal development institutions.
- Vice President, Somaiya Vidyavihar (running 34 educational institutions on two Campuses with a strength of 27,000 students and faculty of more than 1,700. The

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Institutions cover all disciplines for Undergraduates and Postgraduates including Humanities, Arts, Commerce, Science, Engineering, Management Studies, Education, Computer Science and Information Technology).

- Trustee, K. J. Somaiya Medical Trust (running Medical College and a free Hospital and a Nursing School).
- Vice Chancellor, Maharashtra Vidyapeeth, Mumbai (an Autonomous University).
- President, National Association for the Blind, India.

Government of India Apex Bodies:

- Chairman, Export-Import Advisory Council (Western Region), Govt. of India
- Member, Central Advisory Council for Industries
- Member, Advisory Council for Internal Trade
- Member, All India Council for Technical Education
- Chairman, Study Group on Agro Industries of the Maharashtra Economic Development Council
- Member, Pasricha Committee on Sugar Industry
- Member, Swaminathan Committee for Development of Ethyl Alcohol Based Chemical Industries
- Member, Krishnamurthy Committee on Perspective Plan for Organic Chemicals.

Committee Member in Important Apex Trade Bodies:

- Federation of Indian Chambers of Commerce & Industry (FICCI)
- Indian National Committee - International Chamber of Commerce (INC-ICC)
- Indian Sugar Mills Association (ISMA)
- All India Manufacturers' Organisation (AIMO)
- All India Alcohol- Based Industries Development Association (AABIDA)
- South India Sugar Mills Association (SISMA)
- Member of the Grants-in-Aid Committee for Experimental Innovative Programmes for Education constituted by the Ministry of HRD, Govt. of India.

Presidential positions held:

- All India Organisation Of Employers (AIOE)
- All India Manufacturers Organisation (AIMO)
- All India Distillers' Association (AIDA)
- Indian Merchants' Chamber (IMC)
- Indian Sugar Mills Association (ISMA)
- Indian Salt Mfrs. Association (ISMA)
- Sugar Technologists Assn. Of India (STAI)

Chairman of various FICCI sub committees:

- Agriculture, Rural Development & Social Welfare
- Ecology & Environment
- Small Scale Industries
- Education

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Social and Cultural Bodies:

- Vice President, Friends of Tribal Society, India, promoting/establishing schools in tribal villages.
- Trustee, Shree Cutchi Lohana Mahajan, Mumbai
- Member, Museum Society of India
- Member, Rotary Club of Mumbai Mid Town
- Also enjoys Membership in various Social, Cultural and Philanthropic Bodies.

Shri Samir Somaiya (Master of Chemical Engineering, Master of Public Administration (Harvard University) and MBA (Cornell University))

- Chairman & Managing Director, Godavari Biorefineries Ltd.
- President, Somaiya Vidyavihar
- Chairman K.J.Somaiya Trust, K.J.Somaiya Medical Trust, K.J.Somaiya Institute of Applied Agriculture Research and Girivanvasi Pragati Mandal
- Chairman, Research Innovation Incubation Design Laboratory (Riidl) (Technology business Incubator)
- Owner Kitabkhana
- Visiting Instructor & Current Committee Member - School of Chemical Engineering - Cornell University

Past Office Held

- Indian Sugar Mills Association – President 2009(Current Committee Member)
- Indian Chemical; Council – Chairman (W. Region) 2006-08 (Current Committee Member)
- Committee Member Indian Merchants Chamber
- Advisory Board, School of chemical Engineering, Cornell University, USA
- PAPSAC, Harvard University, USA
- Council of Religious Leaders – Astana, Kazakhstan

Amrita Somaiya – (Bachelor of Arts (Economics) Simmons College, Boston USA)

Trustee of K J Somaiya Trust and K J Somaiya Medical Trust and Team Leader for the Help a Child project.

Amrita Somaiya graduated with a Bachelor of Arts (Economics) with special emphasis on Development Economics, International Relations and Marketing Management. She was the Committee Head, Orientation Committee in the year 1990, President of International Student Organization in the year 1991 and in 1991-92; she was elected to Who is Who among Students in American Universities and Colleges. Amrita's many responsibilities reflect her dedication to the promotion of charitable causes and education. Amrita is a Trustee for Somaiya Trust and Somaiya Vidyavihar Trust, Chairperson of the Help A Child to Study Project and Director of Kitab Khana, a boutique bookshop. Amrita is also involved in Governing Council of The Somaiya School.

The other members of society are mainly involved in education.

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Somaiya Vidyavihar University

Annexure 4B - Act and Notification under which university is established



महाराष्ट्र शासन राजपत्र

असाधारण भाग आठ

वर्ष ५, अंक २२(२)]

शनिवार, जून २९, २०१९/आषाढ ८, शके १९४१

[पृष्ठ २०, किंमत : रुपये २७.००

असाधारण क्रमांक ५०

प्राधिकृत प्रकाशन

महाराष्ट्र विधानमंडळाचे अधिनियम व राज्यपालांनी प्रख्यापित केलेले अध्यादेश व केलेले विनियम आणि विधि व न्याय विभागाकडून आलेली विधेयके (इंग्रजी अनुवाद).

In pursuance of clause (3) of article 348 of the Constitution of India, the following translation in English of the Somaiya Vidyavihar University, Mumbai Act, 2019 (Mah. Act No.V of 2019) is hereby published under the authority of the Governor.

By order and in the name of the Governor of Maharashtra,



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Dr. Nand Kumar Gilke
Registrar
Somaiya Vidyavihar University

RAJENDRA G. BHAGWAT,
Secretary (Legislation) to Government,
Law and Judiciary Department.

MAHARASHTRA ACT No. V OF 2019.

(First published, after having received the assent of the Governor in the "Maharashtra Government Gazette", on the 29th June 2019.)

An Act to provide for establishment, incorporation and regulation of the Somaiya Vidyavihar University, Mumbai for the development and advancement of higher education in the State and to provide for matters connected therewith or incidental thereto.

WHEREAS it is expedient to provide for the establishment, incorporation and regulation of the Somaiya Vidyavihar University, Mumbai for the development and advancement of higher education in the State and to provide for matters connected therewith and incidental thereto, for the purposes hereinafter appearing; it is hereby enacted in the Seventieth Year of the Republic of India as follows :—

1. (1) This Act may called as the Somaiya Vidyavihar University, Mumbai Act, 2019.

Short title
and comm-
encement.

(2) It shall come into force on such date, as the State Government may by notification in the Official Gazette, appoint.

भाग आठ-५०-१

(१)



Definitions.

2. In this Act, unless the context otherwise requires,—

(a) "adjunct professor", "adjunct associate professor", or "adjunct assistant professor" means a person from industry, trade, agriculture, commerce, social, cultural, academic or any other allied field who is so designated during the period of collaboration or association with the University ;

(b) "authorities" means the authorities of the University as specified by or under this Act ;

(c) "Board of Management" means the Board of Management constituted under section 22 of this Act ;

(d) "campus" means the area of University within which it is established ;

(e) "center of excellence" means the state-of-the-art training or research center established in collaboration with industry or for the benefit of industry and society, to provide all types of relevant skills to students, in-service employees, working professionals and to undertake joint projects ;

(f) "distance education" means education imparted by combination of any two or more means of communication, viz. broadcasting, telecasting, correspondence courses, seminars, contact programmes and any other such methodology ;

(g) "employee" means any person appointed by the University and includes teachers, officers and other staff of the University ;

(h) "fee" means monetary collection made by the University or its colleges, institutions or study centers, as the case may be, from the students by whatever name it may be called, which is not refundable ;

(i) "Government" or "State Government" means the Government of Maharashtra ;

(j) "Governing Body" means the Governing Body constituted under section 21 of this Act ;

(k) "higher education" means pursuit of knowledge beyond learning at the stage of higher secondary school education ;

(l) "hostel" means a place of residence for the students of the University, or its institutions and study centers, established or recognized to be as such by the University ;

(m) "notification" means a notification published in the *Official Gazette* ;

(n) "*Official Gazette*" means the *Maharashtra Government Gazette* ;

(o) "President or Chancellor" means the Chancellor of the University ;

(p) "prescribed" means prescribed by statutes or ordinances or regulations, as the case may be, made by or under this Act ;

(q) "regulating body" means a body established by the Central Government for laying down norms and conditions for ensuring academic standards of higher education, such as University Grants Commission, All India Council of Technical Education, National Council of Teacher Education, Medical Council of India, Pharmacy Council of India, National Council of Assessment and Accreditation, Indian Council of Agriculture Research, Distance Education Council, Council of Scientific and Indian Research, etc. and includes the Government ;

(r) "rules" means the rules made by the State Government ;

(s) "section" means a section of this Act ;

(t) "sponsoring body" in relation to the university established under this Act means,—

(i) Somaiya Vidyavihar Society, registered as a society under Societies Registration Act, 1860 and the Public Trust under the Maharashtra Public Trust Act, having its registered address at Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai 400 001 ;

21 of 1860.
XXIX of
1950.

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महाराष्ट्र शासन राजपत्र, असाधारण भाग आठ, जून २९, २०१९/आषाढ ८, शके १९४१

XXIX of
1950.

(ii) K. J. Somaiya Trust registered as a trust under the Maharashtra Public Trust Act, having its registered address at Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai 400 001, and

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1950.

(iii) Somaiya Trust registered under the Maharashtra Public Trust Act, having its registered address at Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai 400 001 ;

(u) "State" means State of Maharashtra ;

(v) "statutes", "ordinances" and "regulations" mean respectively, the statutes, ordinances and regulations of the University made under this Act ;

(w) "student" means a person enrolled in the University for taking a course of study for a degree, diploma or other academic distinction instituted by the University, including a research degree ;

(x) "teacher" means a professor, associate professor, assistant professor, adjunct professor or any other person required to impart education or to guide research or to render guidance in any form to the students for pursuing a course of study of the University ;

(y) "University" means the Somaiya Vidyavihar University, Mumbai.

3. (1) There shall be established a University by the name of the Somaiya Vidyavihar University, Mumbai. Incorporation.

(2) The President, the Vice-Chancellor, the Governing Body, the Board of Management, the Academic Council and all other persons who may hereafter become such officers or members so long as they continue to hold such office or membership, are hereby constituted and declared to be a body corporate by the name of " the Somaiya Vidyavihar University, Mumbai".

(3) The University shall have perpetual succession and a common seal and shall sue and be sued by the said name.

(4) The University shall function as a non-affiliating University established under this Act and it shall not affiliate any other college or institute for the award conferment of degree, diploma and certificate of its degree to the students admitted therein.

(5) The University shall be situated and have its head-quarters at Somaiya Vidyavihar University, Vidyavihar (East), Mumbai 400 077.

4. The objects of the University shall be as follows:—

Objects of
University.

(a) to provide learning, teaching, capacity, capability and skills development and research and development in higher and technical education, covering Liberal Arts, Humanities, Social Sciences, Life Sciences and Biotechnologies, Nano-Sciences and Technologies, Professional Disciplines such as Engineering, Technology, Management, Business and Commerce, Applied and Creative Arts, Vocational Education, Media, Information and Communication Technology and Education per se and their inter-disciplinary studies and development ;

(b) to provide instructions, teaching and training in Higher and Technical Education, Arts, Sports, Culture, Film, Space Technologies, Marine Research and make provisions for research ;

(c) to create higher level of cognitive, affective and psychomotor (head, hearts and hands) abilities ;

(d) to create higher levels of intellectual abilities ;

(e) to create and deploy new educational programs to promote creativity, innovation and entrepreneurship for inventing of new ways for development and social reconstruction and transformation ;

(f) to establish state-of-the-art facilities for education and development ;

(g) to carry out teaching and research and offer continuing quality education programmes ;

(h) to create centers of excellence for research and development in Science and Technology and socio-economic development and for sharing knowledge and its application ;

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(i) to use modern and post-modern processes, mechanisms and technologies for governance and management of learning, teaching, researching, evaluating, developing, organizing and creating socio-economic wealth for individuals and society for 21st century ;

(j) to provide professional and development services to the industry and public organizations and society ;

(k) to start higher education programs, courses in new and emerging areas with innovative approaches ;

(l) to establish links, collaborations and partnerships with other higher education and research institutions in India and abroad ;

(m) to institute degrees, diplomas, certificates and other academic distinctions on the basis of examinations, or any other methods of evaluation ;

(n) to establish innovative approaches for creation of seamlessness in academic structures, learning time-frames, and working and continuous evaluation processes for nurturing and cultivation of creativity and entrepreneurship ;

(o) to pursue any other objectives as may be suggested by the Government ;

(p) to ensure that the standard of the degree, diplomas, certificates and other academic distinctions conferred by a University are not lower than those laid down by the All India Council for Technical Education or the National Council for Teacher Education established under the National Council for Teacher Education Act, 1993 or the University Grants Commission constituted under the University Grants Commission Act, 1956 or the Pharmacy Council of India constituted under the Pharmacy Act, 1948 or the Bar Council of India constituted under the Advocates Act, 1961 or any other statutory body, as the case may be.

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Powers and
function.

5. The University shall have the following powers and functions, namely : —

(i) to make provisions and adopt all measures (including adoption and updating of the curricula) in respect of study, teaching and research, relating to the courses through traditional as well as new innovative modes including on-line education mode ;

(ii) to institute and confer degrees, diplomas, certificates, awards, grades, credits and academic distinctions ;

(iii) to conduct and hold examinations ;

(iv) to provide for the degrees, diplomas, certificates, equivalent or corresponding to the degrees, diplomas, certificates of other recognized Universities, Boards or Councils ;

(v) to take all necessary measures for setting up campus ;

(vi) to set up central library, departmental libraries, museums and allied matters ;

(vii) to institute and confer honorary degrees as may be prescribed ;

(viii) to institute and award fellowships, scholarships, studentships, as may be specified ;

(ix) to take special measures for spreading educational facilities among the educationally backward strata of the society ;

(x) to encourage and promote sports and other extra-curricular activities ;

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(xi) to create technical, administrative and other necessary posts and to make appointments thereto ;

(xii) to undertake research projects on mutually acceptable terms and conditions ;

(xiii) to provide consultancy services ;

(xiv) to frame statutes, ordinances, rules and regulations for carrying out the objects of the University in accordance with the provisions of the Act ;

(xv) to encourage and promote co-curricular activities for personality development of the teachers, students and employees of the University ;

(xvi) to provide for dual degrees, diplomas or certificates *vis-a-vis* other universities on reciprocal basis within and outside the country as per instructions of the State Government, Government of India and University Grants Commission ;

(xvii) to make such provisions for integrated courses in different disciplines in the educational programmes of the University ;

(xviii) to set-up colleges, institutions and off-campus centers, only within the State, as per the instructions issued by the State Government, Central Government and the University Grants Commission, from time to time ;

(xix) to receive donations, gifts and grants and to acquire, hold, manage and dispose of any property, movable or immovable, including trust or endowed property within or outside the State for the purposes and objects of the University and to invest funds ;

(xx) to prescribe the fee structure for various courses, from time to time as per provisions of this Act ;

(xxi) to demand and receive payments of such fees and other charges as may, from time to time, be specified ;

(xxii) to seek collaboration with other institutions on mutually acceptable terms and conditions ;

(xxiii) to determine salaries, remunerations, honoraria to teachers and employees of the University in accordance with the norms, specified by the University Grants Commission and the other regulatory bodies ;

(xxiv) to organize and to undertake extra-mural teaching and extension services ;

(xxv) to establish and maintain Halls and Hostels ;

(xxvi) to recognize, supervise and control Halls and Hostels not maintained by the University and other accommodation for the residence of the students and to withdraw any such recognition ;

(xxvii) to regulate and enforce discipline among students and employees of the University and to take such disciplinary measures as may be deemed necessary ;

(xxviii) to make arrangements for promoting health and general welfare of the students and the employees of the University ;

(xxix) to co-operate with any other university in and outside the country, authority or any public or private body having in view of the promotion of purposes and objects similar to those of the University for such purposes as may be agreed upon, on such terms and conditions as may, from time to time, be specified ;

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(xxx) to provide for the printing, re-printing and publication of research and other work, including text books, which may be issued by the University ;

(xxxi) to comply and follow, from time to time the provisions of the University Grants Commission (Establishment and Maintenance of Standards in Private Universities) Regulations, 2003 or any other regulations or directions issued by the University Grants Commission;

(xxxii) to comply with and carry out directives issued by the State Government, from time to time, with reference to above powers, duties and responsibilities of the University;

(xxxiii) to do all such things as may be necessary, incidental or conducive to the attainment of all or any of the objects of the University.

University
open to
all.

6. (1) No citizen of India shall be excluded from any office of the university or from membership of any of its authorities, bodies or committees, or from appointment to any post, or from admission to any degree, diploma, certificate or other academic distinction or course of study on the ground only of sex, race, creed, class, caste, place of birth, religious belief or profession, or political or other opinion.

(2) The University shall adopt Government policy and orders issued, from time to time, in regard to the reservation for Scheduled Castes, Scheduled Tribes, De-notified Tribes (*Vimukta Jatis*), Nomadic Tribes, Other Backward Classes, Special Backward Category, Socially and Educationally Backward Classes of Citizens (SEBC) and Economically Weaker Sections (EWS) for the purpose of admission of students in the University departments and institutions.

(3) The University shall adopt the general policy of the State Government in regard to the welfare of various categories of weaker sections of the society and minorities as directed by the State Government from time to time.

University
to be self-
financed.

7. The University shall be self-financed and it shall not be entitled to receive any grant or other financial assistance from the Government.

Endowment
Fund.

8. (1) The sponsoring body shall establish a permanent statutory fund to be called "the Endowment Fund" for the University which shall comprise of at least ten crore rupees which may be increased *suo motu* but shall not be decreased.

(2) The Endowment Fund shall be kept as security deposit to ensure strict compliance of the provisions of this Act, rules, regulations, statutes or ordinances made thereunder.

(3) The Government shall have the powers to forfeit, in the prescribed manner, a part or whole of the Endowment Fund in case the University or the sponsoring body contravenes any of the provisions of this Act, rules, statutes, ordinances or regulations made thereunder.

(4) Income from Endowment Fund may be utilized for the development of infrastructure of the University but shall not be utilized to meet out the recurring expenditure of the University.

(5) The amount of Endowment Fund shall be kept invested, until the dissolution of the University, by way of long term securities received or guaranteed by the Government subject to the condition that the amounts so invested from the Endowment Fund shall not be withdrawn without the permission of the Government.

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(6) The certificates of the long term securities shall be kept in the safe custody of the Government ; and the Government shall have the right to encash the deposit amount for the purpose mentioned in sub-section (3).

9. University shall also establish a fund, which shall be called the General Fund. General Fund to which following shall be credited, namely :—

- (i) fees and other charges received by the University ;
- (ii) any contribution made by the sponsoring body ;
- (iii) any income received from consultancy and other works undertaken by the University ;
- (iv) bequests, donations, endowments and any other grants ; and
- (v) all other sums received by the University.

10. The General Fund shall be utilized for meeting all expenses, recurring or non-recurring in connection with the affairs of the University: Application of General Fund.

Provided that, no expenditure shall be incurred by the University in excess of the limits for total recurring expenditure and total non-recurring expenditure for the year, as may be fixed by the Board of Management, without the prior approval of the Board of Management.

11. The following shall be the officers of the University, namely :— Officers of the University.

- (i) the President who shall also be the Chancellor ;
- (ii) the Vice-Chancellor ;
- (iii) the Deans of Faculties ;
- (iv) the Registrar ;
- (v) the Chief Finance and Accounts Officer ;
- (vi) the Controller of Examinations ; and
- (vii) such other persons in the service of the University as may be declared by the statutes to be the officers of the University.

12. (1) The President shall be appointed by the sponsoring body for a period of three years, with the approval of the Government in such manner, as may be prescribed by the rules. President.

(2) The eligibility criteria for the post of the President shall be as may be prescribed by the rules issued by the State Government.

(3) The President shall be the Head of the University.

(4) The President shall preside over at the meetings of the Governing Body and convocation of the University for conferring Degrees, diplomas or other academic distinctions. .

(5) The President shall have the following powers, namely:—

- (a) to call for any information or record from any officer or authority of the University, relating to the affairs of the University ;
- (b) to appoint the Vice-Chancellor ;
- (c) to remove the Vice-Chancellor in accordance with the provisions of sub-section (7) of section 14 of this Act ;
- (d) such other powers as may be specified by the statutes.

13. The President may be removed from his office by the sponsoring body, if it is satisfied that the incumbent,— Removal of President.

(a) becomes insane and stands so declared by a competent court ; or

(b) has been convicted by a court for any offence involving moral turpitude ; or

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(c) become an undischarged insolvent and stands so declared by a competent court ; or

(d) become physically unfit and incapable of discharging the functions or duties of the office due to protracted illness or physical disability ; or

(e) willfully omitted or refused to carry out the provisions of this Act or has committed breach of any of the terms and conditions of the service contract or any other conditions laid down by the statutes, or has abused the powers vested in him or if the continuance of the president in the office has become detrimental to the interests of the University :

Provided that, the President shall be given a reasonable opportunity to show-cause by the sponsoring body before taking recourse to action under clauses (d) and (e) for his removal from the said office.

Vice-
Chancellor.

14. (1) The Vice-Chancellor shall be appointed by the President, on such terms and conditions as may be specified by statutes, from a panel of three persons recommended by the Governing Body and shall, subject to the provisions contained in sub-section (7), hold office for a term of three years :

Provided that, after the expiry of the term of three years, the Vice-Chancellor shall be eligible for re-appointment for another term of three years :

Provided further that, the Vice-Chancellor shall continue to hold office even after the expiry of his term till new Vice-Chancellor joins, however, in any case, this period shall not exceed one year.

(2) The Vice-Chancellor shall be the principal executive and academic officer of the University and shall have the powers of superintendence and control over the affairs of the University and shall execute the decisions of various authorities of the University.

(3) The Vice-Chancellor shall preside over at the convocation of the University in the absence of the President.

(4) If in the opinion of the Vice-Chancellor, it is necessary to take immediate action on any matter for which powers are conferred on any other authority by or under this Act, he may take such action as he deems necessary and shall at the earliest opportunity thereafter, report his action to such officer or authority as would have in the ordinary course dealt with the matter :

Provided that, if in the opinion of the concerned officer or authority such action should not have been taken by the Vice-Chancellor, then such case shall be referred to the President, whose decision thereon shall be final.

(5) If, in the opinion of the Vice-Chancellor, any decision of any authority of the University is outside the powers conferred by this Act or statutes, ordinances, regulations or rules made thereunder or is likely to be prejudicial to the interests of the University, he shall request the concerned authority to revise its decision within fifteen days from the date of decision and in case the authority refuses to revise such decision wholly or partly or fails to take any decision within fifteen days, then such matter shall be referred to the President and his decision thereon shall be final.

(6) The Vice-Chancellor shall exercise such powers and perform such duties as may be specified by the statutes or the ordinances.

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(7) If at any time upon representation made or otherwise and after making such inquiry as may be deemed necessary, the situation so warrants and if the continuance of the Vice-Chancellor is not in the interests of the University, the President with approval of Governing Body may, by an order in writing stating the reasons therein, ask the Vice-Chancellor to relinquish his office from such date as may be specified in the order :

Provided that, before taking an action under this sub-section, the Vice-Chancellor shall be given an opportunity of being heard.

15. (1) The Deans of Faculties shall be appointed by the President in such manner and on such terms and conditions of service as may be specified by the statutes. Deans of Faculties.

(2) The Deans of Faculties shall assist the Vice-Chancellor in managing the academic and other affairs of the University and shall exercise such powers and perform such functions as may be prescribed by the regulations or be entrusted by the President and Vice-Chancellor.

16. (1) The Registrar shall be appointed by the President in such manner and on such terms and conditions of service as may be specified by the statutes. Registrar.

(2) The Registrar shall be the chief administrative officer of the University. Subject to the decision of the authorities of the University, he shall have the power to enter into agreement, contract, sign documents and authenticate records on behalf of the University. He shall exercise such powers and perform such duties as may be specified by the statutes.

(3) The Registrar shall be the Member-Secretary of the Governing Body, Board of Management and Academic Council, but shall not have the right to vote.

(4) The Registrar shall be the custodian of the records, the common seal and such other property of the University as the Governing Body may commit to his charge.

(5) The Registrar shall exercise such other powers and perform such other duties as may be prescribed by or under this Act, or, as may be conferred on him by the statutes or, may be assigned to him, by the Vice-Chancellor, from time to time.

17. (1) The Controller of Examinations shall be appointed by the President in such manner and on such terms and conditions of service as may be specified by the statutes. Controller of Examinations.

(2) The Controller of Examinations shall be the Principal officer-in-charge of the conduct of examinations and tests of the University and declarations of their results. He shall discharge his functions under the superintendence, directions and guidance of the Vice-Chancellor.

(3) The Controller of Examinations shall be a full-time salaried officer of the University and shall work directly under the direction and control of the Vice-Chancellor of the University. His appointment shall be for a term of three years and he shall be eligible for re-appointment for a term not exceeding three years. The qualifications and experience for the purpose of selection of the Controller shall be such as may be prescribed by the statutes.

(4) The Controller of Examination shall be responsible for,—

(a) preparing and announcing in advance, the calendar of examinations ;

(b) arrangement for printing of question papers ;

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(c) arrangement for the timely publication of result of examinations and other tests ;

(d) taking disciplinary action where necessary against the candidates, paper-setters, examiners, moderators, or any other persons connected with examinations and found guilty of malpractices in relations to the examinations ;

(e) reviewing, from time to time, the results of University examinations and forward reports thereon to the Academic Council ;

(f) the Controller of Examinations shall exercise such other power and perform such other duties as may be prescribed or assigned to him.

Chief Finance
and Accounts
Officer.

18. (1) The Chief Finance and Accounts Officer shall be the principal Finance, Accounts and Audit Officer of the University.

(2) The Chief Finance and Accounts Officer shall be appointed by the President in such manner and on such terms and conditions of service, as may be specified by the statutes.

(3) The Chief Finance and Accounts Officer shall exercise such powers and perform such duties, as may be specified by the statutes.

Other
officers.

19. (1) The University may appoint such other officers, as may be necessary, for its functioning.

(2) The manner of appointment of other officers of the University, the terms and conditions of service of such officers and their powers and functions shall be such, as may be specified by the statutes.

Authorities of
University.

20. The following shall be the authorities of the University, namely :—

(a) the Governing Body ;

(b) the Board of Management ;

(c) the Academic Council ;

(d) the Board of Examinations ; and

(e) such other authorities as may be declared by the statutes to be the authorities of the University.

Governing
Body.

21. (1) The Governing Body of the University shall consist of the following members, namely :—

(a) the President ;

(b) the Vice-Chancellor ;

(c) five persons, nominated by the sponsoring body out of whom two shall be eminent educationists ;

(d) one expert of management or information technology from outside the University, nominated by the President ;

(e) two persons, nominated by the State Government ;

(f) two representatives of the industries to be nominated by the president ;

(g) the Registrar of the University shall be the permanent invitee to the Governing Body but shall not have right to vote.

(2) The Governing Body shall be the supreme authority of the University.

(3) The Governing Body shall have the following powers, namely :—

(a) to provide general superintendence and directions and to control functioning of the University by using all such powers as are provided by this Act or the statutes, ordinances, regulations or rules made thereunder ;

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(b) to review the decisions of other authorities of the University in case they are not in conformity with the provisions of this Act or the statutes, ordinances, regulations or rules made thereunder ;

(c) to approve the budget and annual report of the University ;

(d) to lay down the policies to be followed by the University ;

(e) to recommend to the sponsoring body about the voluntary liquidation of the University if a situation arises when smooth functioning of the University does not remain possible, in spite of all efforts ; and

(f) such other powers, as may be prescribed by the statutes.

(4) The Governing Body shall meet atleast thrice in a calendar year.

(5) The quorum for meetings of the Governing Body shall be five.

22. (1) The Board of Management shall consist of the following members, namely :— Board of Management.

(a) the Vice-Chancellor ;

(b) two members of the Governing Body, nominated by the sponsoring body ;

(c) two Deans of the University, by rotation, to be nominated by the Vice-Chancellor ;

(d) three persons, who are not the members of the Governing Body, nominated by the sponsoring body ; and

(e) three persons from amongst the teachers, nominated by the sponsoring body.

(2) The Vice-Chancellor shall be the Chairperson of the Board of Management.

(3) The powers and functions of the Board of Management shall be such as may be specified by the statutes.

(4) The Board of Management shall meet atleast once in every two months.

(5) The quorum for meetings of the Board of Management shall be five.

23. (1) The Academic Council shall consist of the Vice-Chancellor and such other members as may be specified by the statutes. Academic Council.

(2) The Vice-Chancellor shall be the *exofficio* Chairperson of the Academic Council.

(3) The Academic Council shall be the principal academic body of the University and shall, subject to the provisions of this Act and the rules, statutes and ordinances made thereunder, co-ordinate and exercise general supervision over the academic policies of the University.

(4) The quorum for meetings of the Academic Council shall be such as may be specified by the statutes.

24. (1) The Board of Examination shall be the principal authority of the University for conducting the examination and making policy decisions in regard to organizing and holding examinations, improving the system of examinations, appointing the paper-setters, examiners moderators and also prepare the Schedule of Examinations and declaration of results. The Board of Examination shall also have oversee and regulate the conduct of examinations in study centers, or any center related to the University. Board of Examination.

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Explanation.—For the purposes of this section and of section 38, the expression “Schedule of Examinations” means a table giving details about the time, day and date of the commencement of each paper which is a part of a scheme of examinations and shall also include the details about the practical examinations.

(2) The Board of Examination shall consist of following members, namely :—

(a) the Vice-Chancellor – Chairperson ;

(b) Professor of each subject – Member ;

(c) one evaluation expert, co-opted by the Board of Examination—Member ;

(d) the Controller of Examination—Member-Secretary.

(3) The powers and functions of the Board of Examinations shall be such as may be laid down by the statutes.

Other authorities. 25. The constitution, powers and functions of other authorities of the University shall be such as may be specified by the statutes.

Dis-qualification. 26. A person shall be disqualified for being a member of any of the authorities or bodies of the University, if he,—

(i) is of unsound mind and stands so declared by a competent court ; or

(ii) has been convicted of any offence involving moral turpitude ; or

(iii) is conducting or engaging himself in private coaching classes ; or

(iv) has been punished for indulging in or promoting unfair practices in the conduct of any examination, in any form, anywhere.

Vacancies not to invalidate the proceedings of any authority or body of the University. 27. No act or proceeding of any authority or body of the University shall be invalid merely by reason of any vacancy or defect in the constitution thereof.

Filling of casual vacancies. 28. In case there occurs any casual vacancy in any authority or body of the University, due to death, resignation or removal of a member, the same shall be filled, as early as possible, by the person or body who appoints or nominates the member whose place becomes vacant and person appointed or nominated to a casual vacancy shall be a member of such authority or body for the residue of the term of such member in whose place he has been so appointed or nominated.

Committees. 29. (1) The authorities or officers of the University may constitute committees with such terms of reference for specific tasks to be performed by such committees as may be necessary.

(2) The constitution of such committees shall be such as may be specified by the statutes.

First Statutes. 30. (1) The First Statutes of the University shall be made by the Governing Body and shall be submitted to the Government for its approval.

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(2) Subject to the provisions of this Act, and the rules made there under, the First Statutes of the University may provide for all or any of the following matters, namely :—

- (a) the constitution, powers and functions of the authorities and other bodies of the University, as may be constituted, from time to time ;
- (b) the terms and conditions of appointment of the Vice-Chancellor and his powers and functions ;
- (c) the manner of appointment and terms and conditions of service of the Deans of Faculties, Registrar and Chief Finance and Accounts Officer and their powers and functions ;
- (d) the manner of appointment and terms and conditions of service of the employees and their powers and functions ;
- (e) the procedure for arbitration in case of disputes between employees, students and the University ;
- (f) the conferment of honorary degrees ;
- (g) the provisions regarding exemption of students from payment of tuition fee and for awarding to them scholarships and fellowships ;
- (h) provisions regarding the policy of admissions, number of seats in different courses including regulation of reservation of seats ; and
- (i) provisions regarding fees to be charged from the students.

(3) The Government shall consider the First Statutes, submitted by the University and shall within four months from the date of its receipt give its approval thereon with such modifications, if any, as it may deem necessary.

(4) The Government shall publish the First Statutes, as approved by it, in the *Official Gazette* and thereafter, such Statutes shall come into force from date of such publication.

31. (1) Subject to the provisions of this Act and the rules made there under, the subsequent statutes of the University may provide for all or any of the following matters, namely :—

Subsequent
statutes.

- (a) creation of new authorities of the University ;
- (b) accounting policy and financial procedure ;
- (c) representation of teachers in the authorities of the University ;
- (d) creation of new departments and abolition or restructuring of existing department ;
- (e) institution of medals and prizes ;
- (f) creation of posts and procedure for abolition of posts ;
- (g) revision of fees ;
- (h) alteration of the number of seats in different syllabi ; and
- (i) all other matters which under the provisions of this Act are to be specified by the statutes.

(2) The statutes of the University other than the First Statutes shall be made by the Board of Management with the approval of the Governing Body.

(3) The Board of Management may, from time to time, make new or additional statutes or may amend or repeal the statutes so made in the manner hereinafter provided in this section :

Provided that, the Board of Management shall not make any statute or any amendment in the statutes affecting the status, powers or constitution of any existing authority of the University until such authority has been given

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महाराष्ट्र शासन राजपत्र, असाधारण भाग आठ, जून २९, २०१९/आषाढ ८, शके १९४१

an opportunity of expressing an opinion on the proposal and any opinion so expressed shall be in writing and shall be considered by the Governing Body.

(4) Every such statute or addition to the statutes or any amendment or repeal of the statutes shall be subject to the approval of the Government :

Provided that, no statutes shall be made by the Board of Management affecting the discipline of students and standards of instruction, education and examination except in consultation with the Academic Council.

First
Ordinance.

32. (1) The First Ordinances of the University shall be made by the Governing Body and shall be submitted to the Government for its approval.

(2) Subject to the provisions of this Act or the rules or statutes made there under, the Board of Management may make such First Ordinances with the approval of the Governing Body as it deems appropriate for the furtherance of the objects of the University and such ordinances may provide for all or any of the following matters, namely :-

(a) the admission of students to the University and their enrolment as such ;

(b) the courses of study to be laid down for the degrees, diplomas and certificates of the University ;

(c) the award of the degrees, diplomas, certificates and other academic distinctions, the minimum qualifications for the same and the means to be taken relating to the granting and obtaining of the same ;

(d) the conditions for awarding of fellowships, scholarships, stipends, medals and prizes ;

(e) the conduct of examinations, including the terms of office and manner of appointment and the duties of examining bodies, examiners and moderators ;

(f) fees to be charged for the various courses, examinations, degrees and diplomas of the University ;

(g) the conditions of residence of the students in the hostels of the University ;

(h) the provisions regarding disciplinary action against the students ;

(i) the creation, composition and functions of any other body which is considered necessary for improving the academic life of the University ;

(j) the manner of co-operation and collaboration with other Universities and institutions of higher education ; and

(k) all other matters which are required to be provided by the ordinances under the provisions of this Act or the statutes made thereunder.

(3) The Government shall consider the First Ordinances submitted by the Vice-Chancellor under sub-section (1) and shall give its approval within four months from the date of its receipt, with such modifications, if any as it may deem necessary.

Subsequent
Ordinances.

33. (1) All ordinances other than the First Ordinances shall be made by the Academic Council which after being approved by the Board of Management shall be submitted to the Governing Body for its approval.

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महाराष्ट्र शासन राजपत्र, असाधारण भाग आठ, जून २९, २०१९/आषाढ ८, शके १९४१

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(2) The Academic Council shall either modify the ordinances incorporating the suggestions of the Board of Management and the Governing Body or give reasons for not incorporating the suggestions, and shall return the ordinances alongwith such reasons, if any, the Board of Management and the Governing Body shall consider the suggestions of the Academic Council and shall approve the ordinances of the University with or without such modification and then the ordinances, as approved by the Governing Body shall come into force.

34. The authorities of the University may, subject to the prior approval of the Board of Management, make regulations, consistent with the provisions of this Act, the rules, statutes and the ordinances made there under, for the conduct of their own business and of the committees appointed by them. Regulations.

35. (1) Admission in the University shall be made strictly on the basis of merit. Admissions.

(2) Merit for admission in the University may be determined either on the basis of marks or grade obtained in the qualifying examination for admission and achievements in co-curricular and extra-curricular activities or on the basis of marks or grade obtained in the entrance test conducted at State level either by an association of the Universities conducting similar courses or by any agency of the State:

Provided that, admission in professional and technical courses shall be made only through entrance test.

(3) Seats for admission in the University, for the students belonging to Scheduled Castes, Scheduled Tribes, De-notified Tribes (*Vimukta Jatis*), Nomadic Tribes, Other Backward Classes, Special Backward Category, Socially and Educationally Backward Classes of Citizens (SEBC), Ecomically Weaker Sections (EWS) and handicapped students, shall be reserved as per the policy of the State Government.

(4) Out of the total approved intake capacity, forty per cent. of the seats shall be reserved for the students having domiciled in the State of Maharashtra.

36. (1) There shall be a Fee Fixation Committee to fix the fee structure of the University. Fee Fixation Committee.

(2) The composition, term of Committee and its Members, mechanism and procedure to be followed by the Fee Fixation Committee shall be such as may be notified by the State Government by notification in *Official Gazette*:

Provided that, every such notification shall, as soon as may be, laid before each House of the State Legislature.

(3) The allowances and honorarium of the Chairman and members of the Fee Fixation Committee shall be such as may be specified by State Government, from time to time, which shall be paid by the University.

37. (1) No capitation fee shall be collected by or on behalf of the University or by any person who is in charge of, or is responsible for, the management of such institution, from or in relation to any student in consideration of his admission to and prosecution of any course of study or of his promotion to a higher standard or class in such institution. Prohibition of Capitation Fee.

(2) Notwithstanding anything contained in sub-section (1), the management may in good faith collect or accept donations in cash or kind, in the prescribed manner, from benevolent persons or organization or public trusts or any other association of persons, for opening of new educational institutions, for creation of Endowment Fund for award of scholarship, prizes or the like, but while collecting or accepting such donations the management

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shall not reserve any seats in any educational institution run by it in consideration of such donations. Where in consideration of accepting such donations any seat is reserved for admission to any student in such institution such acceptance of donation shall be deemed to be collection of capitation fee, within the meaning of clause (a) of section 2 of the Maharashtra Educational Institutions (Prohibition of Capitation Fee) Act, 1987.

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of 1988.

Schedule of
Examinations.

38. At the beginning of each academic session and in any case not later than 30th June of every calendar year, the University shall prepare and publish a semester-wise or annual, as the case may be, Schedule of Examinations for each and every course conducted by it and shall strictly adhere to such Schedule :

Provided that, if for any reason whatsoever, the University is unable to follow this Schedule, it shall, as soon as practicable, submit a report to the Government giving the detailed reasons for making a departure from the published Schedule of Examination. The Government may, thereon, issue such directions as it may deem fit for better compliance in future.

Declaration of
results.

39. (1) The University shall strive to declare the results of every examination conducted by it within thirty days from the last date of the examination for a particular course and shall in any case declare the results latest within forty-five days from such date :

Provided that, if for any reason whatsoever, the University is unable to finally declare the results of any examination within the period of forty-five days, it shall submit a report incorporating the detailed reasons for such delay to the Government. The Government may, thereon, issue such directions as it may deem fit for better compliance in future.

(2) No examination or the results of an examination shall be held invalid only for the reasons that the University has not followed the Schedule of Examination as stipulated in section 38 of this Act or that the University has failed to declare the results within the period specified in sub-section (1).

Convocation.

40. The Convocation of the University shall be held in every academic year in the manner as may be specified by the statutes for conferring degrees, diplomas or for any other purpose.

Accreditation
of the
University.

41. The University shall obtain accreditation from the National Council of Assessment and Accreditation (NAAC), Bangalore within three years of its establishment and inform the Government and such other regulating bodies which are connected with the courses taken up by the University about the grade provided by NAAC to the University. The University shall get renewed such accreditation at an interval of every five years thereafter.

University to
follow rules,
regulations,
norms, etc. of
the regulating
bodies.

42. Notwithstanding anything contained in this Act, the University shall be bound to comply with all the rules, regulations, norms, etc. of the regulating bodies and provide all such facilities and assistance to such bodies as are required by them to discharge their duties and carry out their functions.

Annual
report.

43. (1) The annual report of the University shall be prepared by the Board of Management which shall include among other matters, the steps taken by the University towards the fulfillment of its objects and shall be approved by the Governing Body and copy of the same shall be submitted to the sponsoring body.

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(2) Copies of the annual report prepared under sub-section (1) shall also be presented to the Government.

(3) The State Government shall cause such report to be laid before each house of the State Legislature.

44. (1) The annual accounts including balance sheet of the University shall be prepared under the directions of the Board of Management and the annual accounts shall be audited at least once in every year by the auditors appointed by the University for this purpose.

Annual accounts and audit.

(2) A copy of the annual accounts together with the audit report shall be submitted to the Governing Body.

(3) A copy of the annual accounts and audit report alongwith the observations of the Governing Body shall be submitted to the sponsoring body.

(4) The copies of annual accounts and balance sheet prepared under sub-section(1) shall also be presented to the Government.

(5) The advice of the Government, if any, arising out of the accounts and audit report of the University shall be placed before the Governing Body and the Governing Body shall issue such directions, as it may deem fit and compliance thereof shall be reported to the Government.

45. (1) For the purpose of ascertaining the standards of teaching, examination and research or any other matter relating to the University, the Government may, after consultation with the Vice-Chancellor, cause an assessment to be made in such manner as may be prescribed, by such person or persons as it may deem fit.

Powers of the Government to inspect University.

(2) The Government shall communicate to the University its recommendations in regard to the result of such assessment for corrective action and the University shall take such corrective measures as are necessary so as to ensure the compliance of the recommendations.

(3) If the University fails to comply with the recommendations made under sub-section (2) within a reasonable time, the Government may give such directions as it may deem fit which shall be binding on the University.

46. (1) The sponsoring body may dissolve the University by giving a notice to this effect to the Government, the employees and the students of the University at least one year in advance :

Dissolution of University by sponsoring body.

Provided that, dissolution of the University shall have effect only after the last batches of students of the regular courses have completed their courses and they have been awarded degrees, diplomas or awards, as the case may be.

(2) On the dissolution of the University, all the assets and liabilities of the University shall vest in the sponsoring body :

Provided that, in case the sponsoring body dissolves the University before fifteen years of its establishment, all the assets of the University shall vest in the Government, free from all encumbrances.

47. (1) If it appears to the Government that the University has contravened any of the provisions of this Act or the rules, statutes or ordinances made thereunder or has contravened any of the directions issued by it under this Act or has ceased to carry out any of the undertakings given under section 5 of this Act, or a situation of financial mis-management or mal-administration has arisen in the University, it shall issue notice requiring

Special powers of the State Government in certain circumstances.

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the University to show cause within forty-five days as to why an order of its liquidation should not be made.

(2) If the Government, on receipt of reply of the University on the notice issued under sub-section (1), is satisfied that there is a *prima facie* case of contravention of all or any of the provisions of this Act or the rules, statutes or ordinances made there under or of contravention of the directions issued by it under this Act or of ceasing to carry out the undertaking given under section 5 of this Act, or of financial mis-management or mal-administration, it shall make an order of such enquiry as it may consider necessary.

(3) The Government shall, for the purpose of any enquiry under sub-section (2), appoint an inquiry officer or officers to inquire into any of the allegations and to make report thereon.

(4) The Enquiry Officer or officers appointed under sub-section (3) shall have the same powers as are vested in a civil court under the Code of Civil Procedure, 1908 while trying a suit in respect of the following matters, namely :—

(a) Summoning and enforcing the attendance of any person and examining him on oath ;

(b) requiring the discovery and production of any such document or any other material as may be predicable in evidence ;

(c) requisitioning any public record from any court or office ;
and

(d) any other matter which may be prescribed.

(5) The inquiry officer or officers, inquiring under this Act, shall be deemed to be a civil court for the purposes of section 195 and Chapter 26 of the Code of Criminal Procedure, 1973.

(6) On receipt of the enquiry report from the officer or officers appointed under sub-section (3), if the Government is satisfied that the University has contravened all or any of the provisions of this Act or the rules, statutes, or ordinances made there under or has violated any of the directions issued by it under this Act or has ceased to carry out the undertakings given by it under section 5 of this Act or a situation of financial mis-management and mal-administration has arisen in the University which threatens the academic standard of the University, it shall issue a preliminary order for the liquidation of the University and appoint an administrator.

(7) The administrator appointed under sub-section (6) shall have all the powers and be subject to all the duties of the Governing Body and the Board of Management under this Act and shall administer the affairs of the University until the last batch of the students of the regular courses have completed their courses and they have been awarded degrees, diplomas or awards, as the case may be.

(8) After having awarded the degrees, diplomas or awards, as the case may be, to the last batches of the students of the regular courses, the administrator shall make a report to this effect to the Government.

(9) On receipt of the report under sub-section (8), the Government shall, by notification in the *Official Gazette*, issue a final order dissolving the University and from the date of publication of such notification, the University shall stand dissolved and all the assets and liabilities of the University shall vest in the sponsoring body from such date.

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महाराष्ट्र शासन राजपत्र, असाधारण भाग आठ, जून २९, २०१९/आषाढ ८, शके १९४१

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48. (1) Immediately after the commencement of this Act, there shall be established a Secretary Level Committee in order to verify and ensure compliance of the requirements to the guidelines relating to the establishment of self-financed universities, framed by the State Government from time to time ; and of the undertakings submitted by the sponsoring body. The committee shall consist of the Secretaries-in-charge of the Higher and Technical Education Department, the Finance Department and the Planning Department.

Secretary
Committee.

(2) The committee constituted under sub-section (1), shall submit its report to the Government.

(3) On receipt of the report under sub-section (2), the State Government shall, publish a notification in the *Official Gazette* allowing the operationalization of the University.

(4) The University shall, admit the students only after issuance of the notification under sub-section (3).

49. The Secretary Level Committee established under sub-section (1) of section 48 of this Act may carry out an inspection of the University as and when directed by the Government and verify and ensure compliance of the requirements of the guidelines issued by the State Government or the Central Government, from time to time, relating to the establishment of self-financed universities and of the compliance of the provisions of the relevant laws for the time being in force.

Inspection by
Secretary
Level
Committee.

50. (1) The Government may, by notification, make rules for carrying into effect the purposes of this Act.

Power to
make rules.

(2) Without prejudice to the generality of the foregoing power, such rules may provide for all or any of the following matters, namely :—

(a) matters to be prescribed under clause (d) of sub-section (4) of section 47 ; and

(b) any other matter which is required to be, or may be, prescribed by rules.

(3) All the rules made under this Act shall be laid, as soon as may be after it is made, before each house of the State Legislature, while it is in session, for a total period of thirty days which may be comprised in one session or in two successive sessions, and if, before the expiry of the session in which they are so laid or the sessions immediately following, both Houses agree in making any modification to the rule or both Houses agree that the rule should not be made, the rule shall from the date of publication of a notification, have effect only in such modified form or be of no effect, as the case may be ; so, however, that any such modification or annulment shall be without prejudice to the validity of anything previously done or omitted to be done there under.

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महाराष्ट्र शासन राजपत्र, असाधारण भाग आठ, जून २९, २०१९/आषाढ ८, शके १९४१

Power to
remove
difficulties.

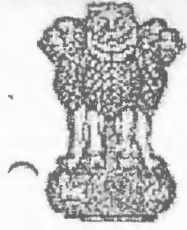
51. (1) If any difficulty arises in giving effect to the provisions of this Act, the Government may, as occasion arises, by an order published in the *Official Gazette*, do anything not inconsistent with the provisions of this Act, which appears to it to be necessary or expedient for removing the difficulty :

Provided that, no such order shall be made after the expiry of a period of two years from the date of commencement of this Act.

(2) Every order made under sub-section (1), shall be laid, as soon as may be after it is made, be laid before each House of the State Legislature.

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www.ugc.ac.in

S.No.2 (Issue)

विश्वविद्यालय अनुदान आयोग

University Grants Commission

मानव संसाधन विकास मंत्रालय, भारत सरकार

(Ministry of Human Resource Development, Govt. of India)

बहादुरशाह जफर मार्ग नई दिल्ली- 110 002

Bahadurshah Zafar Marg, New Delhi-110002

Phone : 011-23604329, 011-23604431

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ज्ञान-विज्ञान विमुक्तये

SPEED-POST

November, 2019

F.No- 8-17/2019(CPP-I/PU)

The Vice Chancellor
Somaiya Vidyavihar University,
Somaiya Bhavan, 45-47,
Mahatma Gandhi Road, Fort,
Mumbai- 400001,
Maharashtra



27 NOV 2019

Subject: Establishment of **Somaiya Vidyavihar University, Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai- 400001, Maharashtra- Regarding**

Sir,

With reference to your letter No. SVU/VC/19-20/005 dated 30.10.2019 on the subject cited above, I am directed to inform you that the UGC has received the **Act No. V of 2019** of the State Legislature of **Maharashtra** wherein **Somaiya Vidyavihar University, Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai- 400001, Maharashtra** has been established as a Private University notified vide Notification No. **SFU-2013/C.R.200/Uni.-4** dated **26.08.2019**. In view of the establishment of the **Somaiya Vidyavihar University, Somaiya Bhavan, 45-47, Mahatma Gandhi Road, Fort, Mumbai- 400001, Maharashtra** by State Act, the name of the University has been included in the list maintained by the UGC on its website www.ugc.ac.in.

1. The inclusion of the name of the University in the list of Universities maintained by the UGC does not by itself allow the University to make admissions in its programmes. This may be done only after creation of required academic and physical infrastructure facilities, including library, laboratories and appointment of teaching and supporting staff as per the norms and standards laid down by the UGC and Statutory Council(s) concerned.
2. The University is now requested to submit detailed information in the enclosed format (**Annexure-I**) (**soft copy as well as hard copy**) along with supporting documents duly attested by the Registrar of the University within a period of 3 months from the receipt of this letter. This format along with appendices is also available on the UGC website www.ugc.ac.in
3. After receipt of the Information in the above mentioned format, the following procedure would be adopted by the UGC for inspection of the University:-
 - (a) The information received from the University in the prescribed format would be posted on the UGC website within 10 days of the receipt of the information (Annexure-I). Comments would be invited from the general public within 1 month thereafter about the information submitted by the University. The same information (Annexure-I) shall also be posted by the University on its website immediately. The comments received from the general public would be placed before the visiting UGC Expert Committee for perusal.

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- (b) An Expert Committee would be constituted by the UGC to ascertain whether the University fulfils the criteria in terms of programmes, faculty, infrastructural facilities, financial viability, etc. as laid down from time to time by the UGC and other concerned statutory bodies such as AICTE, BCI, MCI, DCI, INC, NCTE, PCI, etc.
- (c) The UGC Expert Committee would visit the University within 3 months of the receipt of the information (Annexure-I) for on the spot assessment of infrastructure and other facilities available with the University. The report prepared by the UGC Expert Committee would be sent to the University within 2 weeks of the completion of the visit for comments.
- (d) The University may respond to the report within a period of two weeks after its receipt. After receipt of comments from the University, the report and comments from the University, if any, would be placed before the Commission for consideration.
- (e) The Commission shall take final decision in the matter. It may require the University to submit a compliance report in respect of the observations/suggestions of the UGC Expert Committee. The compliance report submitted by the University shall be placed before the Commission for consideration.
- (f) On approval of the Commission, an Approval Letter would be issued by the UGC to the effect that the University fulfils the criteria in terms of programmes, faculty, infrastructural facilities, financial viability, etc. as laid down from time to time by the UGC and other concerned statutory bodies.
- (g) If it is found that the Private University has, even after getting an opportunity to do so, failed to comply with the provisions of the various UGC Regulations including UGC (Establishment of and maintenance of standards in Private Universities) Regulations, 2003 and suggestions given by the UGC Expert Committee, the Commission may pass an order prohibiting the University from offering any course for the award of the degree and/or the post-graduate degree/diploma, as the case may be, till the deficiency is rectified.
- (h) The UGC may also take necessary action against a University awarding a first degree and /or a post-graduate degree, which are not specified by the UGC and inform the public in general through a public notification.
4. As per the judgment of the Hon'ble Supreme Court in the case of Prof. Yashpal Vs. State of Chhattisgarh, there is no provision to have Regional Centres/off-campus centres beyond the territorial jurisdiction of the State. In view of the judgement of Hon'ble apex court, the University is requested to adhere to the following:-
- a. No off-campus centre(s) is opened by the University outside the territorial jurisdiction of the State in view of the judgement of Hon'ble Supreme Court of India in case of Prof. Yashpal vs. State of Chhattisgarh.
- b. In case the University has already started any off campus centre outside the State, it must be closed down immediately. It may also be ensured that any off campus centre within the State shall be opened only as per the provision laid down in the UGC (Establishment of and maintenance of standards in Private Universities) Regulations, 2003 and with the prior approval of UGC.
- c. The University shall not have any affiliated Colleges.
- d. The University has to follow UGC (Minimum standards and procedure for award of M.Phil/Ph.D. degree) Regulations, 2016.



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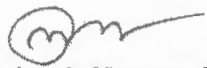
5. The University is required to follow the UGC (Establishment of and Maintenance of Standards in Private Universities) Regulation, 2003 and other Regulations issued from time to time and posted on UGC website www.ugc.ac.in.

Yours faithfully,

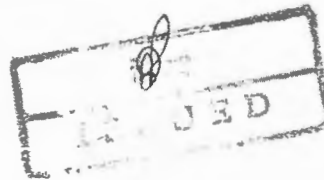

(Kundla Mahajan)
Under Secretary

Copy to: -

1. The Secretary (Higher Education),
Government of India, Ministry of Human Resource Development,
Department of Higher Education, Shastri Bhawan
New Delhi – 100 001.
2. The Principal Secretary,
Govt. of Maharashtra, Tech & Higher Education Deptt.
Mantralaya, Annexe Building, Mumbai – 400 032.
Maharashtra
3. P.O. Website, UGC, New Delhi.
4. Guard File.


(Lokesh Kumar Jangra)
Section Officer

9c Paur/Gaj
22/11/19



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